# BEFORE THE SECURITIES APPELLATE TRIBUNAL MUMBAI

**Date of Decision: 25.02.2021** 

### **Appeal No. 228 of 2019**

- Pat Financial Consultants Private Limited 3-3A, Churchgate House, 1<sup>st</sup> Floor, 32/34, Veer Nariman Road, Fort, Mumbai – 400 001.
- 2. Bharat Jayantilal Patel 3-3A, Churchgate House, 1<sup>st</sup> Floor, 32/34, Veer Nariman Road, Fort, Mumbai 400 001.

...Appellants

#### Versus

Securities and Exchange Board of India, SEBI Bhavan, Plot No. C-4A, G-Block, Bandra-Kurla Complex, Bandra (East), Mumbai – 400 051.

...Respondent

## AND Appeal No. 229 of 2019

Gandiv Investments Pvt. Ltd. 8, Anant Niwas, Kasturba Cross Road, Malad (West), Mumbai – 400 064.

...Appellant

#### Versus

Securities and Exchange Board of India, SEBI Bhavan, Plot No. C-4A, G-Block, Bandra-Kurla Complex, Bandra (East), Mumbai – 400 051.

...Respondent

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None for the Appellant.

Mr. Kumar Desai, Advocate with Ms. Rashi Dalmia, Advocate

i/b ELP for the Respondent.

CORAM: Justice Tarun Agarwala, Presiding Officer

Justice M.T. Joshi, Judicial Member

Per: Justice Tarun Agarwala, Presiding Officer (Oral)

1. No one appears to press the appeals. Dismissed for want

of prosecution.

2. The present matter was heard through video conference

due to Covid-19 pandemic. At this stage it is not possible to sign

a copy of this order nor a certified copy of this order could be

issued by the registry. In these circumstances, this order will be

digitally signed by the Private Secretary on behalf of the bench

and all concerned parties are directed to act on the digitally

signed copy of this order. Parties will act on production of a

digitally signed copy sent by fax and/or email.

Justice Tarun Agarwala

Providing Officer

Presiding Officer

Justice M.T. Joshi Judicial Member

25.02.2021

msb