BEFORE THE SECURITIES APPELLATE TRIBUNAL
MUMBAI

Date of Decision : 20.05.2021

Misc. Application No. 436 of 2021
In
Appeal No. 140 of 2021

Ambica Capital Markets Ltd.
3, Haren, Mukherjee Road, ...Applicant
Belurmath, Howrah – 711202. (Org. Appellant)

Versus

Securities and Exchange Board of India
SEBI Bhavan, Plot No. C-4A, G Block,
Bandra Kurla Complex, Bandra (East), … Respondent
Mumbai - 400 051. (Org. Respondent)

With
Misc. Application No. 437 of 2021
In
Appeal No. 141 of 2021

Gold View Financial Services Ltd.
5, Shree Charan Sarani, ...Applicant
Balley, Howrah, West Bengal – 711201. (Org. Appellant)

Versus

Securities and Exchange Board of India
SEBI Bhavan, Plot No. C-4A, G Block,
Bandra Kurla Complex, Bandra (East), … Respondent
Mumbai - 400 051. (Org. Respondent)

Mr. Vikas Bengani, Advocate for the Applicants.

Mr. Suraj Choudhary, Advocate with Mr. Abhiraj Arora, Ms. Rashi Dalmia, Mr. Karthik Narayan, Advocates i/b ELP for the Respondent.

CORAM : Justice Tarun Agarwala, Presiding Officer
Justice M. T. Joshi, Judicial Member

Per : Justice Tarun Agarwala, Presiding Officer (Oral)

1. Having heard the learned counsel for the applicants, we find no merit in the applications, apart from the fact that the applications are also not maintainable. Dismissed.

2. The present matter was heard through video conference due to Covid-19 pandemic. At this stage it is not possible to sign a copy of this order nor a certified copy of this order could be issued by the Registry. In these circumstances, this order will be digitally signed by the Private Secretary on behalf of the bench and all concerned parties are directed to act on the digitally signed copy of this order. Parties will act on production of a digitally signed copy sent by fax and/or email.

Justice Tarun Agarwala
Presiding Officer

Justice M. T. Joshi
Judicial Member

20.05.2021
PTM

RAJALAKSHMI H NAIR
Digitally signed by RAJALAKSHMI H NAIR
Date: 2021.05.24 08:20:15 +05'30'