

BEFORE THE SECURITIES APPELLATE TRIBUNAL
MUMBAI

Date: 28.09.2021

Appeal No. 4 of 2020

Shaswat Stock Brokers Pvt. Ltd.

..... Appellant

Versus

Securities and Exchange Board of India

..... Respondent

Mr. Monaal Davawala, Advocate for the Appellant.

Mr. Sumit Rai, Advocate with Mr. Abhiraj Arora, Ms. Rashi Dalmia,
Mr. Karthik Narayan, Advocates i/b ELP for the Respondent.

With

Appeal No. 100 of 2020

ACML Capital Markets Ltd.
(formerly known as ASE Capital Markets
Ltd.)

..... Appellant

Versus

Securities and Exchange Board of India

... Respondent

Mr. K. C. Jacob, Advocate i/b Corporate Law Chambers India for the
Appellant.

Mr. Sumit Rai, Advocate with Mr. Manish Chhangani,
Mr. Ravishekhar Pandey, Advocates i/b The Law Point for the
Respondent.

ORDER :

1. On account of fact that one of the Members is unable to join the meeting on account of internet connectivity, the matters are adjourned. Three weeks' time is allowed to the appellants to file rejoinder. List on November 16, 2021.
2. Parties will take instructions from the Registrar 48 hrs. before the date fixed in order to find out as to whether the appeal would be heard through video conference or through physical hearing.
3. The present matter was heard through video conference due to Covid-19 pandemic. At this stage it is not possible to sign a copy of this order nor a certified copy of this order could be issued by the Registry. In these circumstances, this order will be digitally signed by the Private Secretary on behalf of the bench and all concerned parties are directed to act on the digitally signed copy of this order. Parties will act on production of a digitally signed copy sent by fax and/or email.

Justice Tarun Agarwala
Presiding Officer

28.09.2021
PTM