

BEFORE THE SECURITIES APPELLATE TRIBUNAL
MUMBAI

Date: 22.02.2022

Appeal No. 4 of 2020

Shaswat Stock Brokers Pvt. Ltd. Appellant

Versus

Securities and Exchange Board of India Respondent

Mr. Sumit Rai, Advocate with Mr. Manish Chhangani,
Mr. Ravishekhar Pandey, Ms. Samreen Fatima, Advocates i/b The
Law Point for the Respondent.

With
Appeal No. 100 of 2020

ACML Capital Markets Ltd.
(formerly known as ASE Capital Markets
Ltd.) Appellant

Versus

Securities and Exchange Board of India ... Respondent

Mr. Vinay Chauhan, Advocate with Mr. K. C. Jacob, Advocate i/b
Corporate Law Chambers India for the Appellant.

Mr. Sumit Rai, Advocate with Mr. Manish Chhangani,
Mr. Ravishekhar Pandey, Ms. Samreen Fatima, Advocates i/b The
Law Point for the Respondent.

ORDER :

1. Misc. Application has been filed to take a letter dated February 16, 2022 issued by the sub-broker on record of the case. This application has been opposed by the respondent. Objection, if any, may be filed to this application within two weeks from today. List on March 21, 2022.

2. Parties will take instructions from the Registrar 48 hrs. before the date fixed in order to find out as to whether the appeal would be heard through video conference or through physical hearing.

3. The present matter was heard through video conference due to Covid-19 pandemic. At this stage it is not possible to sign a copy of this order nor a certified copy of this order could be issued by the Registry. In these circumstances, this order will be digitally signed by the Private Secretary on behalf of the bench and all concerned parties are directed to act on the digitally signed copy of this order. Parties will act on production of a digitally signed copy sent by fax and/or email.

Justice Tarun Agarwala
Presiding Officer

Justice M. T. Joshi
Judicial Member

22.02.2022
PTM