

BEFORE THE SECURITIES APPELLATE TRIBUNAL  
MUMBAI

**Date : 16.12.2022**

**Appeal No. 696 of 2022**

Guiness Securities Limited & Anr. ...Appellants

Versus

Securities and Exchange Board of India ...Respondent

Mr. Prakash Shah, Advocate with Ms. Anjali Agrawal,  
Advocate Mr. Rajendra Kumar Agrawal, FCA and Mr. Kushal  
Shah, FCA for the Appellant.

**AND**

**Appeal No. 697 of 2022**

Dharmendra Kothari ...Appellant

Versus

Securities and Exchange Board of India ...Respondent

Mr. Prakash Shah, Advocate with Ms. Anjali Agrawal,  
Advocate Mr. Rajendra Kumar Agrawal, FCA and Mr. Kushal  
Shah, FCA for the Appellant.

**ORDER:**

1. Three weeks time is allowed to the appellant to file rejoinder. List on January 30, 2023.

2. This order will be digitally signed by the Private Secretary on behalf of the bench and all concerned parties are directed to act on the digitally signed copy of this order. Certified copy of this order is also available from the Registry on payment of usual charges.

Justice Tarun Agarwala  
Presiding Officer

Ms. Meera Swarup  
Technical Member

16.12.2022  
msb