भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel:022-26449570
email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No.314 of 2014
Certificate No. 065 of 2014

The Principal Officer/
Chairman & Managing Director / CEO
All the Banks in India

1. Whereas a Recovery Certificate No. 065 of 2014 dated April 25, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 32,00,000/- (Rupees Thirty Lakh) towards **Penalty imposed by the Adjudicating Officer** vide order no. MC/AO-02/2012 dated March 05, 2012 in the matter of E. Star Infotech Ltd., along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against **Mr. Mahesh Thanvi ["Defaulter"] PAN AABPT8911G** and the same is due from him in respect of the said certificate. A Notice of Demand dated April 25, 2014 has been issued to **Mr. Mahesh Thanvi.**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

   i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank;
   ii) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:

   a) Details all the Accounts including Lockers held by the defaulter with your Bank,
   b) Copy of the Account Statement/s in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s
   d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. This Notice of attachment is issued in exercise of powers conferred under Section **Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at this 25th day of April, 2014.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

D.V. Sekhar

Recovery Officer

D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

Mr. Mahesh Thanvi (PAN AABPT8911G) **E.Star Infotech Ltd.** Jaimala Banglow, Plot No. 126-131, Dr. Ambedkar Road, Behind Gorai Bridge Bus Stop, Gorai – 1, Borivali (West), Mumbai – 400 091	**Mr. Mahesh Thanvi** **E.Star Infotech Ltd.** "Ritu Basant" Banglow No. 52-55, RSC 13, Gorai – 1, Borivali (West), Mumbai – 400 091

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 022 26449570
recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No.315 of 2014
Certificate No.065 of 2014

The Branch Manager
Punjab and Sind Bank
Babhai Naka,
L.T. Road,
Borivali (West),
Mumbai – 400 091

1. Whereas a Recovery Certificate No. 065 of 2014 dated 25.04.2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.32,00,000 (Rupees Thirty Two Lakh only) towards the penalty imposed by the Adjudicating Officer vide order no. MC/AO – 02/2012 dated March 5, 2012 in the matter of E. Star Infotech Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against **Mr. Mahesh Thanvi ["Defaulter"] PAN AABPT8911G** and the same is due from him in respect of the said certificate. A Notice of Demand dated April 25, 2014 has been issued to **Mr. Mahesh Thanvi.**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

   i) Savings /Current Account bearing **No. 2554** with your bank;
   ii) All other account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with your Bank;
   iii) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter;
   iv) Any lockers registered in the name of the defaulter, either singly or jointly with any other person/s, held with your Bank.

APno. 315 of 2014

भारतीय प्रतिभूति
और विनिमय बोर्ड

अनुवर्ती :
Continuation :

Securities and Exchange Board of India

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4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:

   a) Details all the Accounts including Lockers/loan accounts, etc. held by the defaulter with your bank,
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s
   d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 25th day of April, 2014.

SEAL

D.V. Sekhar

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

Mr. Mahesh Thanvi (PAN AABPT8911G) **E.Star Infotech Ltd.** Jaimala Banglow, Plot No. 126-131, Dr. Ambedkar Road, Behind Gorai Bridge Bus Stop, Gorai – 1, Borivali (West), Mumbai – 400 091	**Mr. Mahesh Thanvi** **E.Star Infotech Ltd.** "Ritu Basant" Banglow No. 52-55, RSC 13, Gorai – 1, Borivali (West), Mumbai – 400 091

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 022 26449570
recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No.316 of 2014
Certificate No.065 of 2014

The Branch Manager
The Kapol Co-operative Bank Ltd.
Bhandarkar Bhuvan,
S.V. Road.,
Borivali (W),
Mumbai - 400 092.

1. Whereas a Recovery Certificate No. 065 of 2014 dated 25.04.2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.32,00,000 (Rupees Thirty Two Lakh only) towards the penalty imposed by the Adjudicating Officer vide order no. MC/AO – 02/2012 dated March 5, 2012 in the matter of E. Star Infotech Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against **Mr. Mahesh Thanvi ["Defaulter"] PAN AABPT8911G** and the same is due from him in respect of the said certificate. A Notice of Demand dated April 25, 2014 has been issued to **Mr. Mahesh Thanvi.**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

   i) Savings /Current Account bearing No. **12639** with your bank;
   ii) All other account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with your Bank;
   iii) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter;
   iv) Any lockers registered in the name of the defaulter, either singly or jointly with any other person/s, held with your Bank.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

AP no. 316 of 2014

अनुवर्ती:
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:

   a) Details all the Accounts including Lockers/loan accounts, etc. held by the defaulter with your bank,
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s
   d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 25th day of April, 2014.

SEAL

D.V. Sekhar

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Adviser
Securities and Exchange Board of India
Mumbai

Copy to:

Mr. Mahesh Thanvi (PAN AABPT8911G) **E.Star Infotech Ltd.** Jaimala Banglow, Plot No. 126-131, Dr. Ambedkar Road, Behind Gorai Bridge Bus Stop, Gorai – 1, Borivali (West), Mumbai – 400 091	**Mr. Mahesh Thanvi** **E.Star Infotech Ltd.** "Ritu Basant" Banglow No. 52-55, RSC 13, Gorai – 1, Borivali (West), Mumbai – 400 091

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No.317 of 2014
Certificate No. 065 of 2014

National Securities Depository Limited,
Trade World, A wing, 4th & 5th Floors,
Kamala Mills Compound,
Lower Parel,
Mumbai - 400 013.

1. Whereas a Recovery Certificate No.065 of 2014 dated 25.04.2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.32,00,000/- (Rupees Thirty Two Lakh only) on account of a penalty imposed by Adjudicating Officer vide order no. MC/AO-02/2012 dated March 05, 2012 in the matter of E.Star Infotech Ltd., along with interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum against **Mr. Mahesh Thanvi ["Defaulter"] PAN AABPT8911G** and the same is due from him in respect of the said certificates. Notices of Demand dated 25.01.2014 have been issued **Mr. Mahesh Thanvi.**

2. And whereas there is sufficient reason to believe that the defaulters may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

AP no: 317 of 2014

अनुवर्ती:
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:

   a) Details of all the Accounts held by the defaulters with you,
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s.

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A, 11(2)(ia) of SEBI Act, 1992,** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 25th day of April, 2014.

SEAL

D.V. Sekhar

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to :

Mr. Mahesh Thanvi (PAN AABPT8911G) **E.Star Infotech Ltd.** Jaimala Banglow, Plot No. 126-131, Dr. Ambedkar Road, Behind Gorai Bridge Bus Stop, Gorai – 1, Borivali (West), Mumbai – 400 091	**Mr. Mahesh Thanvi** **E.Star Infotech Ltd.** "Ritu Basant" Banglow No. 52-55, RSC 13, Gorai – 1, Borivali (West), Mumbai – 400 091

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No.318 of 2014
Certificate No. 065 of 2014

Central Depositories Services (India) Limited,
17th floor, P J Towers,
Dalal Street,
Mumbai – 400001.

1. Whereas a Recovery Certificate No.065 of 2014 dated 25.04.2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.32,00,000/- (Rupees Thirty Two Lakh only) on account of a penalty imposed by Adjudicating Officer vide order no. MC/AO-02/2012 dated March 05, 2012 in the matter of E.Star Infotech Ltd., along with interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum against **Mr. Mahesh Thanvi ["Defaulter"] PAN AABPT8911G** and the same is due from him in respect of the said certificates. Notices of Demand dated 25.01.2014 have been issued **Mr. Mahesh Thanvi.**

2. And whereas there is sufficient reason to believe that the defaulters may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

AP No. 318 & 2014

अनुवर्ती:
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

-2-

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:

   a) Details of all the Accounts held by the defaulters with you,
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s.

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A, 11(2)(ia) of SEBI Act, 1992,** r/w Section 226, and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 25th day of April, 2014.

SEAL

D.V. Sekhar

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to :

Mr. Mahesh Thanvi (PAN AABPT8911G) **E.Star Infotech Ltd.** Jaimala Banglow, Plot No. 126-131, Dr. Ambedkar Road, Behind Gorai Bridge Bus Stop, Gorai – 1, Borivali (West), Mumbai – 400 091	**Mr. Mahesh Thanvi** **E.Star Infotech Ltd.** "Ritu Basant" Banglow No. 52-55, RSC 13, Gorai – 1, Borivali (West), Mumbai – 400 091

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 022 26449570
recovery@sebi.gov.in

Notice of Attachment/Prohibitory Order of Lockers

Attachment Proceeding No. 315 of 2014
Certificate No. 065 of 2014

Punjab & Sind Bank
L.T. Road, Borivali (West),
Mumbai – 400 091.

1. Whereas a Recovery Certificate No.065 of 2014 dated April 25, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.32,00,000/- (Rupees Thirty Two Lakh only) towards the penalty imposed by the Adjudicating Officer vide order no. MC/AO – 02/2012 dated March 5, 2012 in the matter of E. Star Infotech Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against **Mr. Mahesh G. Thanvi ["Defaulter"] PAN.AABPT8911G** and the same is due from him in respect of the said certificate. A Notice of Demand dated April 25, 2014 has been issued to **Mr. Mahesh Thanvi.**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the assets in the Locker held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the Locker/s **bearing No. 292 /243** held by the Defaulter, either singly or jointly with any other person/s with your Bank. Your Bank is also prohibited from allowing the Defaulter or his/her joint holder or the agent or the attorney from any way accessing/ operating the said Locker till further orders.

4. You are hereby directed to seal the said Locker in the presence of the undersigned/ our representative immediately on service of this Notice and provide a Confirmation of the same.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

5. This Notice of attachment / prohibitory order is issued in exercise of powers conferred under Section **28A(1)(b), 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 29th day of May 2014.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

D.V. Sekhar

Recovery Officer

D.V.Sekhar
Recovery Officer & Dy. Legal Adviser
Securities and Exchange Board of India
Mumbai

Copy to:

Mahesh Thanvi
"Ritu Basant" Banglow No. 52-55,
RSC 13, Gorai – 1, Borivali (West),
Mumbai – 400 091.

With a direction not to access or operate the Locker/s held in the aforesaid Bank/ Institution.