भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange
Board of India

Tel:022-26449570
email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 322 of 2014
Certificate No. 067 of 2014

The Principal Officer/
Chairman & Managing Director / CEO
All the Banks in India

1. Whereas a Recovery Certificate No.067 of 2014 dated April 30, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.7,00,000/- (Rupees Seven Lakh) towards **Penalty imposed by the Adjudicating Officer** vide order no. OIAE/SSFEL/AO/DRK-DS/EAD3-400/66-2013 dated September 30, 2013 in the matter of failure to redress investor grievance within stipulated time along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against **S.S. Forgings & Engineering Ltd. ["Defaulter"] PAN AABCS2068J** and the same is due from him in respect of the said certificate. A Notice of Demand dated April 30, 2014 has been issued to.

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

   i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank;
   ii) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION

सेबी भवन, सी-4 'अ', जी-ब्लाक, बान्द्रा कुर्ला काम्प्लेक्स, मुंबई - 400 051. • दूरभाष : 2644 9000 • फैक्स : 2644 9019 to 2644 9022
वेब : www.sebi.gov.in

SEBI Bhavan, C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051. • Tel. : 2644 9000 • Fax : 2644 9019 to 2644 9022
Web : www.sebi.gov.in

AP No. 322/2014 /67

भारतीय प्रतिभूति
और विनिमय बोर्ड

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Continuation :

Securities and Exchange Board of India

5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:

   a) Details all the Accounts including Lockers held by the defaulter with your Bank,
   b) Copy of the Account Statement/s in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s
   d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. This Notice of attachment is issued in exercise of powers conferred under Section **Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at this 30th day of April, 2014.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

D.V. Sekhar

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Adviser
Securities and Exchange Board of India
Mumbai

Copy to:

S.S. Forgings & Engineering Ltd.
1011 Embassy Centre
207, Nariman Point,
Mumbai – 400 021

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No.323 of 2014
Certificate No. 067 of 2014

National Securities Depository Limited,
Trade World, A wing, 4th & 5th Floors,
Kamala Mills Compound,
Lower Parel,
Mumbai - 400 013.

1. Whereas a Recovery Certificate No.067 of 2014 dated 30.04.2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.7,00,000/- (Rupees Seven Lakh only) on account of a penalty imposed by Adjudicating Officer vide order no. OIAE/SSFEL/AO/DRK-DS/EAD3-400/66-2013 dated September 30, 2013 in the matter of failure to redress investor grievance within stipulated time, along with interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum against **S.S. Forgings & Engineering Ltd. ["Defaulter"] PAN AABCS2068J** and the same is due from him in respect of the said certificates. Notices of Demand dated 30.04.2014 have been issued **S.S. Forgings & Engineering Ltd.**

2. And whereas there is sufficient reason to believe that the defaulters may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

सेबी भवन, सी-4 'अ', जी-ब्लाक, बान्द्रा कुर्ला काम्प्लेक्स, मुंबई - 400 051. • दूरभाष : 2644 9000 • फैक्स : 2644 9019 to 2644 9022
वेब : www.sebi.gov.in

SEBI Bhavan, C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051. • Tel. : 2644 9000 • Fax : 2644 9019 to 2644 9022
Web : www.sebi.gov.in

AP NO. 323/2014

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और विनिमय बोर्ड

Securities and Exchange Board of India

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5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:

   a) Details of all the Accounts held by the defaulters with you,
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s.

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A, 11(2)(ia) of SEBI Act, 1992,** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 30th day of April, 2014.

SEAL

D.V. Sekhar

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to :

S.S. Forgings & Engineering Ltd.
1011 Embassy Centre
207, Nariman Point,
Mumbai – 400 021

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange
Board of India

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 324 of 2014
Certificate No. 067 of 2014

Central Depositories Services (India) Limited,
17th floor, P J Towers,
Dalal Street,
Mumbai – 400001.

1. Whereas a Recovery Certificate No.067 of 2014 dated 30.04.2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.7,00,000/- (Rupees Seven Lakh only) on account of a penalty imposed by Adjudicating Officer vide order no. OIAE/SSFEL/AO/DRK-DS/EAD3-400/66-2013 dated September 30, 2013 in the matter of failure to redress investor grievance within stipulated time, along with interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum against **S.S. Forgings & Engineering Ltd. ["Defaulter"] PAN AABCS2068** and the same is due from him in respect of the said certificates. Notices of Demand dated 30.04.2014 have been issued **S.S. Forgings & Engineering Ltd.**

2. And whereas there is sufficient reason to believe that the defaulters may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

सेबी भवन, सी-4 'अ', जी-ब्लाक, बान्द्रा कुर्ला काम्प्लेक्स, मुंबई – 400 051. • दूरभाष : 2644 9000 • फैक्स : 2644 9019 to 2644 9022
वेब : www.sebi.gov.in

SEBI Bhavan, C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051. • Tel. : 2644 9000 • Fax : 2644 9019 to 2644 9022
Web : www.sebi.gov.in

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:

   a) Details of all the Accounts held by the defaulters with you,
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s.

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A, 11(2)(ia) of SEBI Act, 1992,** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 30th day of April, 2014.

SEAL

Recovery Officer

D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to

S.S. Forgings & Engineering Ltd.
1011 Embassy Centre
207, Nariman Point,
Mumbai – 400 021

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange
Board of India

Tel: 022 26449570
recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No.325 of 2014
Certificate No.067 of 2014

The Branch Manager
Punjab and Sind Bank
78, Girgaum Road,
Opera House,
Mumbai-400004

1. Whereas a Recovery Certificate No. 067 of 2014 dated 30.04.2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.7,00,000 (Rupees Seven Lakh only) towards the penalty imposed by the Adjudicating Officer vide order no. OIAE/SSFEL/AO/DRK-DS/EAD3-400/66-2013 dated September 30, 2013 in the matter of failure to redress investor grievance within stipulated time along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against **S.S. Forgings & Engineering Ltd. ["Defaulter"] PAN AABCS2068J** and the same is due from him in respect of the said certificate. A Notice of Demand dated April 30, 2014 has been issued to **S.S. Forgings & Engineering Ltd.**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

   i) Savings /Current Account bearing **No. 3490** with your bank;
   ii) All other account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with your Bank;
   iii) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter;
   iv) Any lockers registered in the name of the defaulter, either singly or jointly with any other person/s, held with your Bank.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

AP NO. 325/2014/67.

भारतीय प्रतिभूति
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Securities and Exchange Board of India

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:

   a) Details all the Accounts including Lockers/loan accounts, etc. held by the defaulter with your bank,
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s
   d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 30th day of April, 2014.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

D.V. Sekhar

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

S.S. Forgings & Engineering Ltd. 1011 Embassy Centre 207, Nariman Point, Mumbai – 400 021	**S.S. Forgings & Engineering Ltd.** 326, Karim Building, Ground Floor, Grant Road, Mumbai – 400007

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.