भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 354 of 2014
Certificate No. 074 of 2014

The Principal Officer/
Chairman & Managing Director/ CEO
All the Banks in India

1. Whereas Recovery Certificate No. 074 of 2014 dated May 22, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 10,00,000/- (Rupees Ten Lakhs only) towards penalties imposed by the Adjudicating Officer vide order no. PKB/AO-121/2010 dated September 27, 2010 in the matter of M/s Alka Securities Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against Mr. Mitesh Jagdish Jani (PAN: ACNPJ6429M) ["Defaulter"] and the same is due from them in respect of the said certificate. Notice of Demand dated May 22, 2014 has been issued to Mr. Mitesh Jagdish Jani.

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

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i) All the account/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with your Bank;
ii) All other amount/ proceeds due or may become due to the Defaulters or any money held or may subsequently hold for or on account of the Defaulters; and
iii) Any lockers registered in the name of the defaulters, either singly or jointly with any other person/s, held with your Bank.

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby advised to provide the following immediately to the undersigned by courier and email at recovery@sebi.gov.in on service of this Notice:

   a) Details of all the Accounts including Lockers held by the defaulters with your Bank,
   b) Copy of the Account Statement/s in respect of all the accounts for the past one year;
   c) Confirmation of Attachment of the said account/s.
   d) Complete details of all loans/ advances accounts along with the details of assets charged for the said loan/advances.

6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 r/w Section 221 and 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 22nd day of May, 2014.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

SEAL

D.V. Sekhar

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

Mr. Mitesh Jagdish Jani. (PAN: ACNPJ6429M)
23, Shriram Vihar, Rokadia Lane,
Borivali (West),
Mumbai – 400092.

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
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Securities and Exchange Board of India

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 355 of 2014
Certificate No. 074 of 2014

The Principal Officer/
Chairman & Managing Director
HDFC Bank Ltd. (For Centurion Bank)
HDFC Bank House, Senapati Bapat Marg
Lower Parel (W), Mumbai - 400 013

1. Whereas Recovery Certificate No. 074 of 2014 dated May 22, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 10,00,000/- (Rupees Ten Lakhs only) towards penalties imposed by the Adjudicating Officer vide order no. PKB/AO-121/2010 dated September 27, 2010 in the matter of M/s Alka Securities Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against Mr. Mitesh Jagdish Jani (PAN: ACNPJ6429M) ["Defaulter"] and the same is due from them in respect of the said certificate. Notice of Demand dated May 22, 2014 has been issued to Mr. Mitesh Jagdish Jani.

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
   i) Account bearing No. **0022026519051 & 0022026519020** Mr. Mitesh Jagdish Jani (PAN: ACNPJ6429M) of your bank;
   ii) All other account/s by whatever name called of the said Defaulters, either singly or jointly with any other person/s, held with your Bank; and

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iii)All other amount/ proceeds due or may become due to the Defaulters or any money held or may subsequently hold for or on account of the Defaulters; and
iv) Any lockers registered in the name of the defaulters, either singly or jointly with any other person/s, held with your Bank.

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby advised to provide the following immediately to the undersigned by courier and email at recovery@sebi.gov.in on service of this Notice:

   a) Details of all the Accounts including Lockers held by the defaulters with your Bank,
   b) Copy of the Account Statement/s in respect of all the accounts for the past one year;
   c) Confirmation of Attachment of the said account/s.
   d) Complete details of all loans/ advances accounts along with the details of assets charged for the said loan/advances.

6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 22nd day of May, 2014.

SEAL

Recovery Officer

D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

Mr. Mitesh Jagdish Jain. (PAN: ACNPJ6429M)
23, Shriram Vihar, Rokadia Lane,
Borivali (West),
Mumbai – 400092.

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

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Securities and Exchange Board of India

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 356 of 2014
Certificate No. 074 of 2014

The Principal Officer/
Chairman & Managing Director
Jain Sahakari Bank Ltd.
Hirabaug, KhattaraliLane,
C.P. Tank, Mumbai – 400004.

1. Whereas Recovery Certificate No. 074 of 2014 dated May 22, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 10,00,000/- (Rupees Ten Lakhs only) towards penalties imposed by the Adjudicating Officer vide order no. PKB/AO-121/2010 dated September 27, 2010 in the matter of M/s Alka Securities Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against Mr. Mitesh Jagdish Jani (PAN: ACNPJ6429M) ["Defaulter"] and the same is due from them in respect of the said certificate. Notice of Demand dated May 22, 2014 has been issued to Mr. Mitesh Jagdish Jani.

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
   i) Account bearing No. **0012867** Mr. Mitesh Jagdish Jani (PAN: ACNPJ6429M) of your bank;
   ii) All other account/s by whatever name called of the said Defaulters, either singly or jointly with any other person/s, held with your Bank; and

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AP No. 356/2014

अनुवर्ती:
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iii)All other amount/ proceeds due or may become due to the Defaulters or any money held or may subsequently hold for or on account of the Defaulters; and
iv) Any lockers registered in the name of the defaulters, either singly or jointly with any other person/s, held with your Bank.

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby advised to provide the following immediately to the undersigned by courier and email at recovery@sebi.gov.in on service of this Notice:

   e) Details of all the Accounts including Lockers held by the defaulters with your Bank,
   f) Copy of the Account Statement/s in respect of all the accounts for the past one year;
   g) Confirmation of Attachment of the said account/s.
   h) Complete details of all loans/ advances accounts along with the details of assets charged for the said loan/advances.

6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 22nd day of May, 2014.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

Mr. Mitesh Jagdish Jani. (PAN: ACNPJ6429M)
23, Shriram Vihar, Rokadia Lane,
Borivali (West),
Mumbai – 400092.

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 357 of 2014
Certificate No. 074 of 2014

The Principal Officer/
Chairman & Managing Director
Union Bank of India, Union Bank Bhavan,
239, Vidhan Bhavan Marg,
Nariman Point, Mumbai - 400 021

1. Whereas Recovery Certificate No. 074 of 2014 dated May 22, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 10,00,000/- (Rupees Ten Lakhs only) towards penalties imposed by the Adjudicating Officer vide order no. PKB/AO-121/2010 dated September 27, 2010 in the matter of M/s Alka Securities Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against Mr. Mitesh Jagdish Jani (PAN: ACNPJ6429M) ["Defaulter"] and the same is due from them in respect of the said certificate. Notice of Demand dated May 22, 2014 has been issued to Mr. Mitesh Jagdish Jani.

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
   i) Account bearing No. **355802010087573** Mr. Mitesh Jagdish Jani (PAN: ACNPJ6429M) of your bank;
   ii) All other account/s by whatever name called of the said Defaulters, either singly or jointly with any other person/s, held with your Bank; and

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

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iii)All other amount/ proceeds due or may become due to the Defaulters or any money held or may subsequently hold for or on account of the Defaulters; and
iv) Any lockers registered in the name of the defaulters, either singly or jointly with any other person/s, held with your Bank.

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby advised to provide the following immediately to the undersigned by courier and email at recovery@sebi.gov.in on service of this Notice:

   i) Details of all the Accounts including Lockers held by the defaulters with your Bank,
   j) Copy of the Account Statement/s in respect of all the accounts for the past one year;
   k) Confirmation of Attachment of the said account/s.
   l) Complete details of all loans/ advances accounts along with the details of assets charged for the said loan/advances.

6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 22nd day of May, 2014.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

SEAL

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

Mr. Mitesh Jagdish Jani. (PAN: ACNPJ6429M)
23, Shriram Vihar, Rokadia Lane,
Borivali (West),
Mumbai – 400092.

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 358 of 2014
Certificate No. 074 of 2014

National Securities Depository Limited,
Trade World, A wing, 4th & 5th Floors,
Kamala Mills Compound,
Lower Parel,
Mumbai - 400 013

1. Whereas Recovery Certificate No. 074 of 2014 dated May 22, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 10,00,000/- (Rupees Ten Lakhs only) towards penalties imposed by the Adjudicating Officer vide order no. PKB/AO-121/2010 dated September 27, 2010 in the matter of M/s Alka Securities Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against Mr. Mitesh Jagdish Jani (PAN: ACNPJ6429M) ["Defaulter"] and the same is due from them in respect of the said certificate. Notice of Demand dated May 22, 2014 has been issued to Mr. Mitesh Jagdish Jani.

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

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अनुवर्ती:
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Securities and Exchange Board of India

i) **All the Demat Account/s** by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:

   a) Details of all the Accounts held by the defaulters with you,
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A, 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 22nd day of May, 2014.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

Recovery Officer

D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

Mr. Mitesh Jagdish Jani. (PAN: ACNPJ6429M)
23, Shriram Vihar, Rokadia Lane,
Borivali (West),
Mumbai – 400092.

With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 359 of 2014
Certificate No. 074 of 2014

Central Depositories Services (India) Limited,
17th floor, P J Towers,
Dalal Street,
Mumbai – 400001

1. Whereas Recovery Certificate No. 074 of 2014 dated May 22, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 10,00,000/- (Rupees Ten Lakhs only) towards penalties imposed by the Adjudicating Officer vide order no. PKB/AO-121/2010 dated September 27, 2010 in the matter of M/s Alka Securities Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against Mr. Mitesh Jagdish Jani (PAN: ACNPJ6429M) ["Defaulter"] and the same is due from them in respect of the said certificate. Notice of Demand dated May 22, 2014 has been issued to Mr. Mitesh Jagdish Jani.

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

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SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

ii) **All the Demat Account/s** by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:

   d) Details of all the Accounts held by the defaulters with you,
   e) Copy of the Account Statement/s;
   f) Confirmation of Attachment of the said account/s

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A, 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 22nd day of May, 2014.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

Recovery Officer

D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

Mr. Mitesh Jagdish Jani. (PAN: ACNPJ6429M)
23, Shriram Vihar, Rokadia Lane,
Borivali (West),
Mumbai – 400092.

With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.