



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Enforcement Department
Recovery Division

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 852 of 2014
Certificate No. 219 of 2014

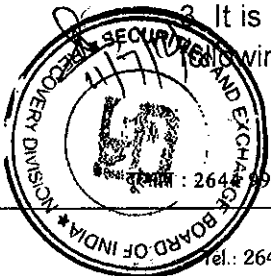
The Principal Officer/
Chairman & Managing Director/ CEO
All the Banks in India

1. Whereas a Recovery Certificate No. 219 dated July 11, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.96,99,915/- (Rupees Ninety Six Lakh Ninety Nine Thousand Nine Hundred Fifteen only) as detailed below along with further interest, all costs, charges and expenses etc., against **Mr. Ashok Bhagat ["Defaulter"] PAN: AFTP6492F** and the same is due from him in respect of the said certificate. A Notice of Demand dated July 11, 2014 has been issued to **Mr. Ashok Bhagat**.

Description of Dues	Amount (in Rs.)
Penalty imposed by the Adjudicating Officer vide order no. IVD-ID6/AB/EIIL/AO/DRK/AKS/EAD-3/335/33-12 dated January 01, 2013 in the matter of M/s Empower Industries India Limited.	82,00,000
Interest from January 01, 2013 to July 11, 2014 @ 12% p.a.	14,98,915
Costs	1,000
Total	96,99,915

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:



सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



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Continuation :

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**Securities and Exchange
Board of India**

- i) All account/s by whatever name called, including lockers, held by the Defaulter, either singly or jointly with any other person/s, with your Bank; and
ii) All amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:
- Details all the Accounts including Lockers held by the defaulter with your Bank,
 - Copy of the Account Statement/s for the past one year in respect of all the Accounts;
 - Confirmation of Attachment of the said account/s
 - Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recovery@sebi.gov.in.
7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 11th day of July, 2014.

SEAL

Copy to:

Mr. Ashok Bhagat

Address:

H-19, Ramaji Gupta Chawl
Sakharam Buva Patil Marg, (Gazadhar Band)
Santacruz (W), Mumbai - 400054

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts



D.V. Sekhar
Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai



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**Securities and Exchange
Board of India**

Enforcement Department
Recovery Division

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

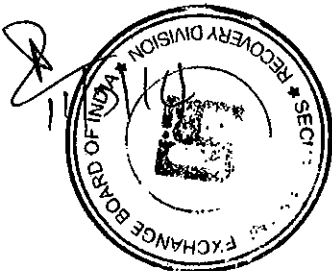
Attachment Proceeding No. 853 of 2014
Certificate No. 219 of 2014

National Securities Depositories Limited
Trade World, "A" Wing, 4th & 5th Floors,
Kamala Mills Compound,
Lower Parel
Mumbai - 400 013

1. Whereas a Recovery Certificate No. 219 dated July 11, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.96,99,915/- (Rupees Ninety Six Lakh Ninety Nine Thousand Nine Hundred Fifteen only) as detailed below along with further interest, all costs, charges and expenses etc., against **Mr. Ashok Bhagat ["Defaulter"] PAN: AFTPB6492F** and the same is due from him in respect of the said certificate. A Notice of Demand dated July 11, 2014 has been issued to **Mr. Ashok Bhagat**.

Description of Dues	Amount (in Rs.)
Penalty imposed by the Adjudicating Officer vide order no. IVD-ID6/AB/EIIL/AO/DRK/AKS/EAD-3/335/33-12 dated January 01, 2013 in the matter of M/s Empower Industries India Limited.	82,00,000
Interest from January 01, 2013 to July 11, 2014 @ 12% p.a.	14,98,915
Costs	1,000
Total	96,99,915

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.





अनुवर्ती :
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**Securities and Exchange
Board of India**

3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.:

- a) Details all the Accounts held by the defaulter with you,
- b) Copy of the Account Statement/s;
- c) Confirmation of Attachment of the said account/s

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A, 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 11th day of July, 2014.

SEAL



Recovery Officer

D.V. Sekhar

Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Copy to:

Mr. Ashok Bhagat

Address:

H-19, Ramaji Gupta Chawl
Sakharam Buva Patil Marg, (Gazadhar Band)
Santacruz (W), Mumbai - 400054

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.



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Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 854 of 2014
Certificate No. 219 of 2014

Central Depositories Services (India) Limited,
17th floor, P J Towers,
Dalal Street,
Mumbai – 400001

1. Whereas a Recovery Certificate No. 219 dated July 11, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.96,99,915/- (Rupees Ninety Six Lakh Ninety Nine Thousand Nine Hundred Fifteen only) as detailed below along with further interest, all costs, charges and expenses etc., against **Mr. Ashok Bhagat ["Defaulter"] PAN: AFTPB6492F** and the same is due from him in respect of the said certificate. A Notice of Demand dated July 11, 2014 has been issued to **Mr. Ashok Bhagat**.

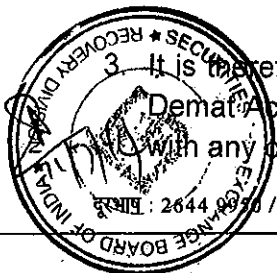
Description of Dues	Amount (in Rs.)
Penalty imposed by the Adjudicating Officer vide order no.-IVD-ID6/AB/EIIL/AO/DRK/AKS/EAD-3/335/33-12 dated January 01, 2013 in the matter of M/s Empower Industries India Limited.	82,00,000
Interest from January 01, 2013 to July 11, 2014 @ 12% p.a.	14,98,915
Costs	1,000
Total	96,99,915

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in



SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड

**Securities and Exchange
Board of India**

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice.:
 - a) Details all the Accounts held by the defaulter with you,
 - b) Copy of the Account Statement/s;
 - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A, 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

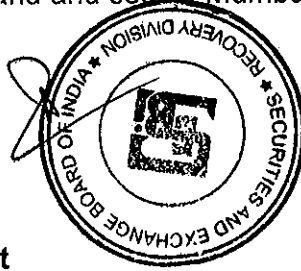
Given under my hand and seal at Mumbai this 11th day of July, 2014.

SEAL

Copy to:

Mr. Ashok Bhagat
Address:

H-19, Ramaji Gupta Chawl
Sakharam Buva Patil Marg, (Gazadhar Band)
Santacruz (W), Mumbai - 400054



D.V. Sekhar
Recovery Officer
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Securities and Exchange Board of India
Mumbai

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.