

### OFFICE OF RECOVERY EASTERN REGIONAL OFFICE

भारतीय प्रतिभूति और विनिमय बोर्ड

## Securities and Exchange Board of India

Ťel : 033-23023000 E Mail : recoveryero@sebi.gov.in

#### Notice of Attachment of Bank Account

<u>Attachment Proceeding No. 1290 of 2014</u> Certificate No. 367 of 2014

Principal Officer/ Chairman & Managing Director/ CEO , All the Banks in India

 Whereas a Recovery Certificate No.367 dated July 17, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.6,74,096/- (Rupees Six Lakhs Seventy Four Thousand Ninety Six only) as detailed below along with further interest, all costs, charges and expenses etc., against M/s Ganpati Investments (Proprietor Mr. Vivek Kumar) ["Defaulter"] PAN of Proprietor Mr. Vivek Kumar: AEVPK8548L and the same is due from them in respect of the said certificate. A Notice of Demand dated July 17, 2014 has been issued to M/s Ganpati Investments (Proprietor Mr. Vivek Kumar)

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no.	Rs.5,00,000/-
PG/AO-92/2011 dated August 29, 2011 in the matter of Tulip IT	
Services Limited.	
Interest from August 29, 2011 to July 17, 2014 @ 12% p.a.	Rs. 1,73,096/-
Costs	Rs. 1,000/-
Total	Rs. 6,74,096/-

- 2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- 3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
  - i. Any other account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - ii. Any other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

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A.P no. 1290 of 2014



सेत्रिय कार्यासय : एल एण्ड टी घेम्यर्स, तीसरी मंजिल, 16. केमैक स्ट्रीट, कोसकाता - 700017, दूरभाव : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in प्रधान कार्यासय : सेबी भवन, फाट सं. सी-4ए, जी-क्साक, बान्दा कुर्सा कम्पसेक्स, सुंबई - 400 051, दूरभाव : 2644 9000, फैक्स : 26449019 to 26449022, बेव : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in



# भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India

- It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
- 5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:
  - i. Details of all the Accounts including Lockers held by the defaulter with your Bank,
  - ii. Copy of the Account Statement/s for the past one year in respect of all the Accounts;
  - iii. Confirmation of Attachment of the said account/s
  - iv. Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
- 6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.
- This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 17th day of July, 2014.

SEAL

Copy to:

M/s Ganpati Investments (Proprietor Mr. Vivek Kumar) West Church Road, Gava - 823001

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RECOVERY OFFICER AMAR NAVLANI Recovery Officer & Dy. General Manager Securities and Exchange Board of India Kolkata

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

**RECOVERY OFFICER** 

AMAR NAVLANI Recovery Officer & Dy. General Manager Securities and Exchange Board of India Kolkata

Page 2 of 2

A.P no. 1290 of 2014

होषिय कार्यासय : एल एण्ड टी घेम्यर्स, तीसरी मंजिल, 16. केमैक स्ट्रीट, कोसकाता - 700017, दूरभाव : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in प्रधान कार्यालय : सेबी भवन, फाट सं. सी-4ए, जी-व्लाक, बान्ग़ कुर्ला कम्पलेक्स, सुंबई - 400 051, दूरभाव : 2644 9000, फैक्स : 26449019 to 26449022, बेब : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in



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भारतीय प्रतिभूति और विनिमय बोर्ड

Securities and Exchange Board of India Tel: 033-23023000

E Mail : recoveryero@sebi.gov.in

#### Notice of Attachment of Demat Account

<u>Attachment Proceeding No. 1291 of 2014</u> Certificate No. 367 of 2014

The Managing Director, National Securities Depository Limited, Trade World, A wing, 4th & 5th Floors, Kamala Mills Compound, Lower Parel, Mumbai - 400013.

 Whereas a Recovery Certificate No.367 dated July 17, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.6,74,096/- (Rupees Six Lakhs Seventy Four Thousand Ninety Six only) as detailed below along with further interest, all costs, charges and expenses etc., against M/s Ganpati Investments (Proprietor Mr. Vivek Kumar) ["Defaulter"] PAN of Proprietor Mr. Vivek Kumar: AEVPK8548L and the same is due from them in respect of the said certificate. A Notice of Demand dated July 17, 2014 has been issued to M/s Ganpati Investments (Proprietor Mr. Vivek Kumar)

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. PG/AO-92/2011 dated August 29, 2011 in the matter of Tulip IT Services Limited.	Rs.5,00,000/-
Interest from August 29, 2011 to July 17, 2014 @ 12% p.a.	Rs. 1,73,096/-
Costs	Rs. 1,000/-
Total	Rs. 6,74,096/-

- 2. And whereas there is sufficient reason to believe that the defaulters may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- 3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following :
  - i. Any other demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
- 4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

Page 1 of 2

A.P. no. 1291 of 2014



सेविय कार्यालय : एल एण्ड टी घेम्यर्स, तीसरी मंजिल, 16. केमैक स्ट्रीट, कोलकाता - 700017, दूरभाव : (033) 23023000, 22872435, 22876105/6106, फिक्स - 22874307, ई-मेल : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in मधान कार्यालय : सेबी भवन, फाट सं. सी-4ए, जी-क्लाक, बान्द्रा कुर्ला कम्पलेक्स, सुंबई - 400 051, दूरभाव : 2644 9000, फैक्स : 26449019 to 26449022, बेब : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in



# भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Fuchers

Securities and Exchange Board of India

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  - a) Details of all the Accounts held by the defaulter with you,
  - b) Copy of the Account Statement/s in respect of all the Accounts;
  - c) Confirmation of Attachment of the said account/s
- This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 17th day of July, 2014.



M/s Ganpati Investments (Proprietor Mr. Vivek Kumar) West Church Road, Gaya - 823001

RECOVERY OFFICER AMAR NAVLANI Recovery Officer & Dy. General Manager Securities and Exchange Board of India Kolkata

With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.

**RECOVERY OFFI** 

AMAR NAVLANI Recovery Officer & Dy. General Manager Securities and Exchange Board of India Kolkata

Page 2 of 2

A.P. no. 1291 of 2014

क्षेप्रिय कार्यालय : एस एण्ड टी घेम्यर्स, तीसरी मंणिल, 16, केमैक स्ट्रीट, कोसकाता - 700017, दूरभाव : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in प्रधान कार्यालय : सेवी भवन, फाट सं. सी-4ए, जी-व्याक, बान्रा कुर्ला कम्पसेक्स, सुंबई - 400 051, दूरभाव : 2644 9000, फैक्स : 26449019 to 26449022, वेव : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in मधान कार्यालय : सेवी भवन, फाट सं. सी-4ए, जी-व्याक, बान्रा कुर्ला कम्पसेक्स, सुंबई - 400 051, दूरभाव : 2644 9000, फैक्स : 26449019 to 26449022, वेव : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in



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Securities and Exchange Board of India Tel: 033-23023000

E Mail : recoveryero@sebi.gov.in

#### Notice of Attachment of Demat Account

<u>Attachment Proceeding No. 1292 of 2014</u> Certificate No. 367 of 2014

The Managing Director, Central Depository Services (India) Limited, 17th Floor, P J Towers, Dalal Street, Fort, Mumbai - 400001

 Whereas a Recovery Certificate No.367 dated July 17, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.6,74,096/- (Rupees Six Lakhs Seventy Four Thousand Ninety Six only) as detailed below along with further interest, all costs, charges and expenses etc., against M/s Ganpati Investments (Proprietor Mr. Vivek Kumar) ["Defaulter"] PAN of Proprietor Mr. Vivek Kumar: AEVPK8548L and the same is due from them in respect of the said certificate. A Notice of Demand dated July 17, 2014 has been issued to M/s Ganpati Investments (Proprietor Mr. Vivek Kumar)

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- 3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following :
  - i. All other demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
- 4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

Page 1 of 2

A.P. no. 1292 of 2014



सेविय कार्यासय : एस एण्ड टी घेम्यर्स, तीसरी मंजिस, 16, केमैक स्ट्रीट, कोसकाता - 700017, दूरभाव : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेस : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in प्रधान कार्यासय : सेबी भवन, प्लाट सं. सी-4ए, जी-स्लाक, बान्द्रा कुर्सा कम्पलेक्स, सुंबई - 400 051, दूरभाव : 2644 9000, फैक्स : 26449019 to 26449022, बेव : www.sebi.gov.in ई-मेस : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in



# भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange

Securities and Exchange Board of India

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  - Given under my hand and seal at Kolkata this 17th day of July, 2014.



SEAL

Copy to:

**M/s Ganpati Investments** (Proprietor Mr. Vivek Kumar) West Church Road, Gaya - 823001

WHOR

RECOVERY OFFICER AMAR NAVLANI Recovery Officer & Dy. General Manager Securities and Exchange Board of India Kolkata

. . .

With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.

AMAR NAVLANI Recovery Officer & Dy. General Manager Securities and Exchange Board of India Kolkata

Page 2 of 2

A.P. no. 1292 of 2014

सेपिय कार्यासय : एस एण्ड री घेम्यर्स, तीसरी मंजिल, 16, केमैक स्ट्रीट, कोसकाता - 700017, दूरभाष : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in प्रधान कार्यासय : सेबी भवन, फ्लाट सं. सी-4ए, जी-ब्लाक, बान्ना कुर्सा कम्पलेक्स, सुंबई - 400 051, दूरभाष : 2644 9000, फैक्स : 26449019 to 26449022, बेब : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in