



OFFICE OF RECOVERY  
EASTERN REGIONAL OFFICE

भारतीय प्रतिभूति  
और विनिमय बोर्ड

Securities and Exchange  
Board of India

Tel : 033-23023000

E Mail : recoveryero@sebi.gov.in

**Notice of Attachment of Bank Account**

**Attachment Proceeding No. 1290 of 2014**

**Certificate No. 367 of 2014**

**Principal Officer/  
Chairman & Managing Director/ CEO ,  
All the Banks in India**

- Whereas a Recovery Certificate No.367 dated July 17, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.6,74,096/- (Rupees Six Lakhs Seventy Four Thousand Ninety Six only)** as detailed below along with further interest, all costs, charges and expenses etc., against **M/s Ganpati Investments** (Proprietor Mr. Vivek Kumar) ["Defaulter"] **PAN of Proprietor Mr. Vivek Kumar: A EVPK8548L** and the same is due from them in respect of the said certificate. A Notice of Demand dated July 17, 2014 has been issued to **M/s Ganpati Investments** (Proprietor Mr. Vivek Kumar)

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. PG/AO-92/2011 dated August 29, 2011 in the matter of Tulip IT Services Limited.	Rs.5,00,000/-
Interest from August 29, 2011 to July 17, 2014 @ 12% p.a.	Rs. 1,73,096/-
Costs	Rs. 1,000/-
<b>Total</b>	<b>Rs. 6,74,096/-</b>

- And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
  - Any other account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - Any other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.





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4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:
  - i. Details of all the Accounts including Lockers held by the defaulter with your Bank,
  - ii. Copy of the Account Statement/s for the past one year in respect of all the Accounts;
  - iii. Confirmation of Attachment of the said account/s
  - iv. Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.
7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 17th day of July, 2014.

SEAL

Copy to:

**M/s Ganpati Investments**  
(Proprietor Mr. Vivek Kumar)  
West Church Road,  
Gaya - 823001

**With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.**



**RECOVERY OFFICER**  
**AMAR NAVLANI**

Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Kolkata

**RECOVERY OFFICER**  
**AMAR NAVLANI**

Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Kolkata





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Notice of Attachment of Demat Account

Attachment Proceeding No. 1291 of 2014

Certificate No. 367 of 2014

The Managing Director,  
National Securities Depository Limited,  
Trade World, A wing, 4th & 5th Floors,  
Kamala Mills Compound, Lower Parel,  
Mumbai - 400013.

- Whereas a Recovery Certificate No.367 dated July 17, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.6,74,096/- (Rupees Six Lakhs Seventy Four Thousand Ninety Six only)** as detailed below along with further interest, all costs, charges and expenses etc., against **M/s Ganpati Investments** (Proprietor Mr. Vivek Kumar) ["Defaulter"] **PAN of Proprietor Mr. Vivek Kumar: AEVPK8548L** and the same is due from them in respect of the said certificate. A Notice of Demand dated July 17, 2014 has been issued to **M/s Ganpati Investments** (Proprietor Mr. Vivek Kumar)

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. PG/AO-92/2011 dated August 29, 2011 in the matter of Tulip IT Services Limited.	Rs.5,00,000/-
Interest from August 29, 2011 to July 17, 2014 @ 12% p.a.	Rs. 1,73,096/-
Costs	Rs. 1,000/-
<b>Total</b>	<b>Rs. 6,74,096/-</b>

- And whereas there is sufficient reason to believe that the defaulters may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is therefore in exercise of powers conferred on me, I hereby order to attach the following :
  - Any other demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
- It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.





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Securities and Exchange  
Board of India

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:

- Details of all the Accounts held by the defaulter with you,
- Copy of the Account Statement/s in respect of all the Accounts;
- Confirmation of Attachment of the said account/s

6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 17th day of July, 2014.

SEAL

Copy to:

M/s Ganapati Investments  
(Proprietor Mr. Vivek Kumar)  
West Church Road,  
Gaya - 823001



RECOVERY OFFICER  
AMAR NAVLANI

Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Kolkata

With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.

RECOVERY OFFICER  
AMAR NAVLANI

Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Kolkata





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Board of India

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Notice of Attachment of Demat Account

Attachment Proceeding No. 1292 of 2014  
Certificate No. 367 of 2014

The Managing Director,  
Central Depository Services (India) Limited,  
17th Floor, P J Towers, Dalal Street, Fort,  
Mumbai - 400001

- Whereas a Recovery Certificate No.367 dated July 17, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.6,74,096/- (Rupees Six Lakhs Seventy Four Thousand Ninety Six only)** as detailed below along with further interest, all costs, charges and expenses etc., against **M/s Ganpati Investments (Proprietor Mr. Vivek Kumar) ["Defaulter"] PAN of Proprietor Mr. Vivek Kumar: AEVVK8548L** and the same is due from them in respect of the said certificate. A Notice of Demand dated July 17, 2014 has been issued to **M/s Ganpati Investments (Proprietor Mr. Vivek Kumar)**

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. PG/AO-92/2011 dated August 29, 2011 in the matter of Tulip IT Services Limited.	Rs.5,00,000/-
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<b>Total</b>	<b>Rs. 6,74,096/-</b>

- And whereas there is sufficient reason to believe that the defaulters may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is therefore in exercise of powers conferred on me, I hereby order to attach the following :
  - All other demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
- It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.





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  - Copy of the Account Statement/s in respect of all the Accounts;
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6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 17th day of July, 2014.



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**M/s Ganpati Investments**  
(Proprietor Mr. Vivek Kumar)  
West Church Road,  
Gaya - 823001

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**AMAR NAVLANI**

Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Kolkata

With a direction not to deal with the securities/ instruments held/ to be held in the  
aforesaid accounts.

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**AMAR NAVLANI**

Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Kolkata