भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

Western Regional Office
Recovery Division
recoverywro@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No.1519 of 2014
Certificate No.443 of 2014

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

1. Whereas a Recovery **Certificate No.443 of 2014 dated September 26, 2014** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.55,589/- (Rupees Fifty Five Thousand Five Hundred Eighty Nine Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Shri Mahendra A. Shah. ["Defaulter"] PAN. AMDPS8299N** and the same is due from him in respect of the said certificate. A Notice of Demand **dated September 26, 2014** has been issued to **Shri Mahendra A. Shah.**

Description of Dues	**Amount(Rs.)**
Penalty imposed by the Adjudicating Officer vide order no. Nil dated November 17, 2004 in the matter of Sawaca Communication Ltd.	25,000/-
Interest from November 17, 2004 to September 26, 2014 @ 12% p.a. (Amt. in Rs.)	29,589/-
Recovery cost	1000/-
Total	**55,589/-**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

   i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

   ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

...1...

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

   a) Details all the Accounts including Lockers held by the defaulter with your Bank;
   b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s; and
   d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoverywro@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Ahmedabad this 26th Day of September, 2014.

SEAL

RECOVERY OFFICER

Sudeep Mishra
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad

Copy to:

Shri Mahendra A. Shah
401, Nirja Apartments,
Behind Jain Temple
Navarangpura
Ahmedabad - 380 009

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

Western Regional Office
Recovery Division
recoverywro@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 1520 of 2014
Certificate No.443 of 2014

National Securities Depository Limited
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

1. Whereas a Recovery **Certificate No.443 of 2014 dated September 26, 2014** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.55,589/- (Rupees Fifty Five Thousand Five Hundred Eighty Nine Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Shri Mahendra A. Shah. ["Defaulter"] PAN. AMDPS8299N** and the same is due from him in respect of the said certificate. A Notice of Demand **dated September 26, 2014** has been issued to **Shri Mahendra A. Shah.**

Description of Dues	**Amount(Rs.)**
Penalty imposed by the Adjudicating Officer vide order no. Nil dated November 17, 2004 in the matter of Sawaca Communication Ltd.	25,000/-
Interest from November 17, 2004 to September 26, 2014 @ 12% p.a. (Amt. in Rs.)	29,589/-
Recovery cost	1000/-
Total	**55,589/-**

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :

   i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

...1...

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.

   a) Details of all the Accounts held by the defaulter with you;
   b) Copy of the Account Statement/s; and
   c) Confirmation of Attachment of the said account/s

6. This Notice of attachment is issued in exercise of powers conferred under section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Ahmedabad this 26th Day of September, 2014.

SEAL

RECOVERY OFFICER
Sudeep Mishra
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad

Copy to:

Shri Mahendra A. Shah
401, Nirja Apartments,
Behind Jain Temple
Navarangpura
Ahmedabad - 380 009

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

Western Regional Office
Recovery Division
recoverywro@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No.1521 of 2014
Certificate No.443 of 2014

Central Depository Services (India) Limited
P J Towers, 17th floor
Dalal Street
Fort, **Mumbai – 400001**

2. Whereas a Recovery **Certificate No.443 of 2014 dated September 26, 2014** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.55,589/- (Rupees Fifty Five Thousand Five Hundred Eighty Nine Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Shri Mahendra A. Shah. ["Defaulter"] PAN. AMDPS8299N** and the same is due from him in respect of the said certificate. A Notice of Demand **dated September 26, 2014** has been issued to **Shri Mahendra A. Shah.**

Description of Dues	**Amount(Rs.)**
Penalty imposed by the Adjudicating Officer vide order no. Nil dated November 17, 2004 in the matter of Sawaca Communication Ltd.	25,000/-
Interest from November 17, 2004 to September 26, 2014 @ 12% p.a. (Amt. in Rs.)	29,589/-
Recovery cost	1000/-
Total	**55,589/-**

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :

   i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

...1...

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.

   a) Details of all the Accounts held by the defaulter with you;
   b) Copy of the Account Statement/s; and
   c) Confirmation of Attachment of the said account/s

6. This Notice of attachment is issued in exercise of powers conferred under section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Ahmedabad this 26th Day of September, 2014.

SEAL

RECOVERY OFFICER
Sudeep Mishra
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad

Copy to:

Shri Mahendra A. Shah
401, Nirja Apartments,
Behind Jain Temple
Navarangpura
Ahmedabad - 380 009

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).