भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

Enforcement Department
Recovery Division

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 1930 of 2015
Certificate No. 610 of 2015

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

1. Whereas a Recovery **Certificate No.610 of 2015 dated 27.04.2015** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.866918/- (Rupees Eight Lakh Sixty Six thousand Nine hundred Eighteen Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Mr. Prasad Tandel ["Defaulter"] PAN. ACSPT7007J** and the same is due from him in respect of the said certificate. A Notice of Demand **dated 27.04.2015** has been issued to **Mr. Prasad Tandel.**

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. SD/AO/30/2009 dated 23.03.2009 in the matter of Shree Yaax Pharma & Cosmetics Ltd.	500000
Interest from 23.03.2009 to 27.04.2015 @ 12% p.a.	365918
Recovery cost	1000
Total	866918

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

   i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

   ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

...2...

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

   a) Details all the Accounts including Lockers held by the defaulter with your Bank;
   b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s; and
   d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recovery@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 27th Day of April, 2015.

SEAL

D.V. Sekhar
RECOVERY OFFICER
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities And Exchange Board of India
भारतीय प्रतिभूति और विनिमय बोर्ड
Mumbai
मुंबई

Copy to:

Mr. Prasad Tandel (PAN:ACSPT7007J)
10/118, Labour Compound,,
Sasmira Marg, Worli,
Mumbai – 400025.

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

Enforcement Department
Recovery Division

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 1931 of 2015
Certificate No.610 of 2015

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The **Principal Officer / Chairman & Managing Director / CEO**
All the Mutual Funds in India.

1. Whereas a Recovery **Certificate No.610 of 2015 dated 27.04.2015** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.866918/- (Rupees Eight Lakh Sixty Six thousand Nine hundred Eighteen Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Mr. Prasad Tandel ["Defaulter"] PAN. ACSPT7007J** and the same is due from him in respect of the said certificate. A Notice of Demand **dated 27.04.2015** has been issued to **Mr. Prasad Tandel.**

Description of Dues	**Amount**
Penalty imposed by the Adjudicating Officer vide order no. SD/AO/30/2009 dated 23.03.2009 in the matter of Shree Yaax Pharma & Cosmetics Ltd.	500000
Interest from 23.03.2009 to 27.04.2015 @ 12% p.a.	365918
Recovery cost	1000
Total	866918

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :

i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.

   a) Details of all the Accounts held by the defaulter with you;
   b) Copy of the Account Statement/s; and
   c) Confirmation of Attachment of the said account/s

6. If the defaulter is not having any type of account with you/not having any balance in the account of the defaulter, the same shall be also informed on the email: recovery@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Mumbai this 27th Day of April, 2015.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

D.V. Sekhar
RECOVERY OFFICER
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities And Exchange Board of India
भारतीय प्रतिभूति और विनिमय बोर्ड
Mumbai
मुंबई

Copy to:

Mr. Prasad Tandel (PAN:ACSPT7007J)
10/118, Labour Compound,,
Sasmira Marg, Worli,
Mumbai – 400025.

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).