

RECOVERY CELL EASTERN REGIONAL OFFICE

भारतीय प्रतिभूति और विनिमय बोर्ड

Securities and Exchange Board of India

Tel: 033-23023000 Email: recoveryero@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 2135 of 2015 Certificate No. 724 of 2015

The Managing Director, National Securities Depository Limited, Trade World, A wing, 4th & 5th Floors, Kamala Mills Compound, Lower Parel, Mumbai - 400013. The Managing Director, Central Depository Services (India) Limited, 17th Floor, P J Towers, Dalal Street, Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO All the Mutual Funds in India

 Whereas a Recovery Certificate No. 724 dated July 10, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 3,60,386/- (Rupees Three Lakh Sixty Thousand Three Hundred and Eighty Six only) as detailed below along with further interest, all costs, charges and expenses etc., against Ballabh Dass Daga ["Defaulter"] PAN: ADVPD8813A and the same is due from them in respect of the said certificate. A Notice of Demand dated July 10, 2015, has been issued to Ballabh Dass Daga

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. VSS/AO-	
52/2008 dated November 20, 2008, in the matter of M/s Rohan	Rs.2,00,000/-
Finance and Securities Limited.	
Interest from November 20, 2008 to July 10, 2015 @ 12% p.a.	Rs. 1,59,386/-
Costs	Rs. 1,000/-
Total	Rs. 3,60,386/-

- And whereas there is sufficient reason to believe that the defaulters may dispose off the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- 3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following :

A.P. No. 2135 of 2015

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क्षेत्रिय कार्यालय : एल एण्ड टी घेम्पर्स, तीसरी मंभिल, 16, फेमैक स्ट्रीट, कोलकाता - 700017, इत्भाष : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in प्रधान कार्यालय : सेवी भवन, फ्लाट सं. सी-4ए, जी-क्लाक, वान्द्रा कुर्ला कम्पसेक्स, सुंबई - 400 051, ढूरभाष : 2644 9000, फैक्स : 26449019 to 26449022, वेव : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in स्थान कार्यालय : सेवी भवन, फ्लाट सं. सी-4ए, जी-क्लाक, वान्द्रा कुर्ला कम्पसेक्स, सुंबई - 400 051, ढूरभाष : 2644 9000, फैक्स : 26449019 to 26449022, वेव : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in



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- i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
- ii. All funds /folios/schemes held by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you
- 4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
- 5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
 - a) Details of all the Accounts held by the defaulter with you,
 - b) Copy of the Account Statement/s ; and
 - c) Confirmation of Attachment of the said account/s
- 6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 10th day of July, 2015.



RECOVERY OFFICER

MANJESH. S. ROY Recovery Officer & Dy. General Manager Securities and Exchange Board of India Kolkata

Ballabh Dass Daga

<u>Address 1</u>: 19 B, Shakespeare Sarani, Kolkata – 700 071 <u>Address 2</u>: 4, N.S. Road, 1st Floor, Kolkata – 700 001 <u>Address 3</u>: 63, Rasha Bazar Street, 3rd Floor, Room No 28, Kolkata – 700 001

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

RECOVERY OFFICER

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A.P. No. 2135 of 2015

MANJESH. S. ROY Recovery Officer & Dy. General Manager Securities and Exchange Board of India Kolkata

सेषिय कार्यालय : एल एक टी घेम्यर्स, तीसरी मंजिल, 16, केमैक स्ट्रीट, कोलकासा - 700017, दूरभाष : (033) 23023000, 22872435, 22876105/6106, फैफ्स : 22874307, ई-मेल : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in प्रधान कार्यालय : सेबी प्रधन, प्लाट सं. सी-4ए, जी-क्लाक, बान्ता कुर्ला कम्पलेकस, सुंबई - 400 051, दूरभाष : 2644 9000, फैक्स : 26449019 to 26449022, बेब : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in

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RECOVERY CELL EASTERN REGIONAL OFFICE

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Securities and Exchange Board of India

Tel: 033-23023000 Email: recoveryero@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 2134 of 2015 Certificate No. 724 of 2015

The Principal Officer/ Chairman & Managing Director/ CEO, All the Banks in India

 Whereas a Recovery Certificate No. 724 dated July 10, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 3,60,386/- (Rupees Three Lakh Sixty Thousand Three Hundred and Eighty Six only) as detailed below along with further interest, all costs, charges and expenses etc., against Ballabh Dass Daga ["Defaulter"] PAN: ADVPD8813A and the same is due from them in respect of the said certificate. A Notice of Demand dated July 10, 2015, has been issued to Ballabh Dass Daga

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. VSS/AO-	Rs.2,00,000/-
52/2008 dated November 20, 2008, in the matter of M/s Rohan	
Finance and Securities Limited.	
Interest from November 20, 2008 to July 10, 2015 @ 12% p.a.	Rs. 1,59,386/-
Costs	Rs. 1,000/-
Total	Rs. 3,60,386/

- And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- 3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
 - i. All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
 - ii. All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.



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A.P No. 2134 of 2015

क्षेत्रिय कार्यालय : एल एण्ड टी चेम्पर्स, सीसरी मंजिल, 16, केमैक स्ट्रीट, कोसकासा - 700017, रूएमाच : (033) 23023000, 22872435, 22876105/6106, कैफस : 22874307, ई-मेस : seblero@sebl.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, कैफस : 22874307, e-mail : sebiero@sebl.gov.in प्रधान कार्यालय : सेवी भवन, प्लाट सं. सी-4ए, जी-प्लाफ, बान्दा कुर्मा कम्पसेक्स, लुंबई - 400 051, रूएमाच : 2644 9000, कैक्स : 26449019 to 26449022, वेच : www.sebl.gov.in ई-मेस : sebi@sebl.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in



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Securities and Exchange Board of India

- 4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
- 5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
 - i. Details of all the Accounts including Lockers held by the defaulter with your Bank,
 - ii. Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
 - iii. Confirmation of Attachment of the said account/s
 - iv. Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
- 6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoveryero@sebi.gov.in.
- This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 10th day of July, 2015.



OFFICER RECOVER

MANJESH. S. ROY Recovery Officer & Dy. General Manager Securities and Exchange Board of India Kolkata

Ballabh Dass Daga

<u>Address 1</u>: 19 B, Shakespeare Sarani, Kolkata – 700 071 <u>Address 2</u>: 4, N.S. Road, 1st Floor, Kolkata – 700 001 <u>Address 3</u>: 63, Radha Bazar Street, 3rd Floor, Room No 28, Kolkata – 700 001

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

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A.P No. 2134 of 2015

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