



भारतीय प्रतिभूति  
और विनिमय बोर्ड

**Securities and Exchange  
Board of India**

RECOVERY CELL  
EASTERN REGIONAL OFFICE

Tel: 033-23023000

Email: recoveryero@sebi.gov.in

**Notice of Attachment of Demat Account**

**Attachment Proceeding No. 2137 of 2015**  
**Certificate No. 725 of 2015**

✓ The Managing Director,  
National Securities Depository Limited,  
Trade World, A wing, 4th & 5th Floors,  
Kamala Mills Compound, Lower Parel,  
Mumbai - 400013.

✓ The Managing Director,  
Central Depository Services (India) Limited,  
17th Floor, P J Towers, Dalal Street,  
Fort, Mumbai - 400001

✓ The Principal Officer / Chairman & Managing Director / CEO  
All the Mutual Funds in India

- Whereas a Recovery Certificate No. 725 dated July 10, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 3,29,153/- (Rupees Three Lakh Twenty Nine Thousand One Hundred and Fifty Three only)** as detailed below along with further interest, all costs, charges and expenses etc., against **Ballabh Dass Daga ["Defaulter"] PAN: ADVPD8813A** and the same is due from them in respect of the said certificate. A Notice of Demand dated July 10, 2015, has been issued to **Ballabh Dass Daga**

| Description of Dues  | Amount                |
|--|-----------------------|
| Penalty imposed by the Adjudicating Officer vide order no. PB/AO-10/2010 dated March 10, 2010, in the matter of <b>M/s PSL Financial Services Limited.</b> | Rs.2,00,000/-         |
| Interest from March 10, 2010 to July 10, 2015 @ 12% p.a.   | Rs. 1,28,153/-        |
| Costs  | Rs. 1,000/-           |
| <b>Total</b>   | <b>Rs. 3,29,153/-</b> |

- And whereas there is sufficient reason to believe that the defaulters may dispose off the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is therefore in exercise of powers conferred on me, I hereby order to attach the following :





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- i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
  - ii. All funds /folios/schemes held by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you
4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
- a) Details of all the Accounts held by the defaulter with you,
  - b) Copy of the Account Statement/s ; and
  - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 10th day of July, 2015.

SEAL

Copy to:

**Ballabh Dass Daga**



**RECOVERY OFFICER**

**MANJESH. S. ROY**

Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Kolkata

Address 1: 19 B, Shakespeare Sarani, Kolkata – 700 071

Address 2: 4, N.S. Road, 1<sup>st</sup> Floor, Kolkata – 700 001

Address 3: 63, Radha Bazar Street, 3<sup>rd</sup> Floor, Room No 28, Kolkata – 700 001

**With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.**

**RECOVERY OFFICER**



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**Notice of Attachment of Bank Account**

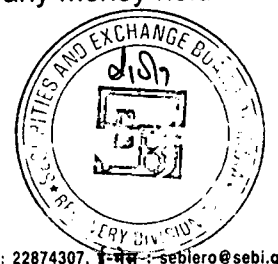
**Attachment Proceeding No. 2136 of 2015**  
**Certificate No. 725 of 2015**

**The Principal Officer/  
Chairman & Managing Director/ CEO,  
All the Banks in India**

- Whereas a Recovery Certificate No. 725 dated July 10, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 3,29,153/- (Rupees Three Lakh Twenty Nine Thousand One Hundred and Fifty Three only)** as detailed below along with further interest, all costs, charges and expenses etc., against **Ballabh Dass Daga ["Defaulter"] PAN: ADVPD8813A** and the same is due from them in respect of the said certificate. A Notice of Demand dated July 10, 2015, has been issued to **Ballabh Dass Daga**

| Description of Dues  | Amount                |
|--|-----------------------|
| Penalty imposed by the Adjudicating Officer vide order no. PB/AO-10/2010 dated March 10, 2010, in the matter of <b>M/s PSL Financial Services Limited.</b> | Rs.2,00,000/-         |
| Interest from March 10, 2010 to July 10, 2015 @ 12% p.a.   | Rs. 1,28,153/-        |
| Costs  | Rs. 1,000/-           |
| <b>Total</b>   | <b>Rs. 3,29,153/-</b> |

- And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
  - All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.





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4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
- i. Details of all the Accounts including Lockers held by the defaulter with your Bank,
  - ii. Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
  - iii. Confirmation of Attachment of the said account/s
  - iv. Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.
7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 10th day of July, 2015.

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**RECOVERY OFFICER**

**MANJESH. S. ROY**  
Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Kolkata

**RECOVERY OFFICER**