

भारतीय प्रतिभूति और विनिमय बोर्ड

**Securities and Exchange Board** of India

**RECOVERY CELL** EASTERN REGIONAL OFFICE

Tel: 033-23023000 Email: recoveryero@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 2141 of 2015 Certificate No. 727 of 2015

The Managing Director, National Securities Depository Limited, Trade World, A wing, 4th & 5th Floors, Kamala Mills Compound, Lower Parel,

Mumbai - 400013.

The Managing Director, Central Depository Services (India) Limited, 17th Floor, P J Towers, Dalal Street, Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO All the Mutual Funds in India

1. Whereas a Recovery Certificate No. 727 dated July 10, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 10,18,403/- (Rupees Ten Lakh Eighteen Thousand Four Hundred and Three only) as detailed below along with further interest, all costs, charges and expenses etc., against Ballabh Dass Daga ["Defaulter"] PAN: ADVPD8813A and the same is due from them in respect of the said certificate. A Notice of Demand dated July 10, 2015, has been issued to Ballabh Dass Daga

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. PKB/AO-	
119/2009 dated September 24, 2009, in the matter of M/s Parbati	Rs.6,00,000/-
Holdings Limited.	
Interest from September 24, 2009 to July 10, 2015 @ 12% p.a.	Rs. 4,17,403/-
Costs	Rs. 1,000/-
Total	Rs. 10,18,403/-

2.

- 3. And whereas there is sufficient reason to believe that the defaulters may dispose off the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- 4. It is therefore in exercise of powers conferred on me, I hereby order to attach the following :

Page 1 of 2

सेक्रिय फार्यालय : एल एक टी घेम्पर्स, तीसरी मंजिल, 16, केमैक स्टीट, फोलफाता - 700017, दुरभाव : (033) 23023000, 22872435, 22876105/6106, फैफ्स : 22874307, ई-मेल : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in प्रधान कार्यासय : सेवी भवन, फाट सं. सी-4ए, जी-व्साक, बान्ना कुर्झा कम्पसेक्स, मुंबई - 400 051, हुरभाष : 2644 9000, कैक्स : 26449019 to 26449022, बेब : www.sebi.gov.in ई-मेझ : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-AA, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in

A.P. No. 2141 of 2015

# भारतीय प्रतिभूति और विनिमय बोर्ड

## Securities and Exchange **Board** of India

- All Demat account/s by whatever name called of the Defaulter, either singly or İ. jointly with any other person/s, held with you.
- All funds /folios/schemes held by whatever name called of the Defaulters, either ii. singly or jointly with any other person/s, held with you
- 5. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
- 6. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
  - a) Details of all the Accounts held by the defaulter with you,
  - b) Copy of the Account Statement/s ; and
  - c) Confirmation of Attachment of the said account/s
- 7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 10th day of July, 2015.





ΛNr

**RECOVERY OFFICER** 

MANJESH.S.ROY Recovery Officer & Dy. General Manager Securities and Exchange Board of India Kolkata

#### **Ballabh Dass Daga**

Address /1: 19 B, Shakespeare Sarani, Kolkata – 700 071 Address 2: 4, N.S. Road, 1<sup>st</sup> Floor, Kolkata – 700 001 Address 3: 63, Radha Bazar Street, 3<sup>rd</sup> Floor, Room No 28, Kolkata – 700 001

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

**RECOVERY OFFICER** 

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A.P. No. 2141 of 2015



#### RECOVERY CELL EASTERN REGIONAL OFFICE

भारतीय प्रतिभूति और विनिमय बोर्ड

### Securities and Exchange Board of India

Tel: 033-23023000 Email: recoveryero@sebi.gov.in

#### Notice of Attachment of Bank Account

Attachment Proceeding No. 2140 of 2015 Certificate No. 727 of 2015

The Principal Officer/ Chairman & Managing Director/ CEO, All the Banks in India

 Whereas a Recovery Certificate No. 727 dated July 10, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 10,18,403/- (Rupees Ten Lakh Eighteen Thousand Four Hundred and Three only) as detailed below along with further interest, all costs, charges and expenses etc., against Ballabh Dass Daga ["Defaulter"] PAN: ADVPD8813A and the same is due from them in respect of the said certificate. A Notice of Demand dated July 10, 2015, has been issued to Ballabh Dass Daga

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. PKB/AO-	
119/2009 dated September 24, 2009, in the matter of M/s Parbati	Rs.6,00,000/-
Holdings Limited.	
Interest from September 24, 2009 to July 10, 2015 @ 12% p.a.	Rs. 4,17,403/-
Costs	Rs. 1,000/-
Total	Rs. 10,18,403/-

- 2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- 3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
  - i. All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - ii. All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.



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A.P No. 2140 of 2015

होषिय फार्यालय : एस एण्ड टी घेम्यर्स, तीसरी मंजिस, 16, फेमैफ स्ट्रीट, फोसफाता - 700017, रूर्भाष : (033) 23023000, 22872435, 22876105/6106, फैफ्स : 22874307, ई-मेस : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in प्रधान फार्यात्रय : सेयी भयन, प्याट सं. सी-4ए, जी-फ्राफ, बान्द्रा कुर्मा जम्मसेक्स, सुंगई - 400 051, रूर्भाष : 2644 9000, फैक्स : 26449019 to 26449022, वेव : www.sebi.gov.in ई-मेस : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in É-mail : sebi@sebi.gov.in

## भारतीय प्रतिभूति और विनिमय बोर्ड

## Securities and Exchange Board of India

- 4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
- 5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
  - i. Details of all the Accounts including Lockers held by the defaulter with your Bank,
  - ii. Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
  - iii. Confirmation of Attachment of the said account/s
  - iv. Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
- 6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.
- 7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 10th day of July, 2015.

SEAL

Copy to:

#### **Ballabh Dass Daga**

HANG

<u>Address 1</u>: 19 B, Shakespeare Sarani, Kolkata – 700 071 <u>Address 2</u>: 4, N.S. Road, 1<sup>st</sup> Floor, Kolkata – 700 001 <u>Address 3</u>: 63, Radha Bazar Street, 3<sup>rd</sup> Floor, Room No 28, Kolkata – 700 001

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

**RECOVERY OFFICER** 

**RECOVERY OFFICER** 

MANJESH. S. ROY Recovery Officer & Dy. General Manager Securities and Exchange Board of India

Kolkata

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#### A.P No. 2140 of 2015

शैषिय कार्यालय : एस एण्ड टी चेम्यर्स, तीसरी मंभिल, 16. केमैक स्ट्रीट, कोलकाता - 700017, दूरमाच : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेस : sebiero@sebi.gov.in Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in प्रधान कार्यालय : सेवी भवन, प्लाट सं. सी-4ए, जी-क्लाक, बान्दा कुर्ला कम्पसेक्स, सुंबई - 400 051, दूरभाव : 2644 9000, फैक्स : 26449019 to 26449022, पेव : www.sebi.gov.in ई-मेस : sebi@sebi.gov.in Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in