



भारतीय प्रतिभूति
और विनियम बोर्ड

Securities and Exchange
Board of India

RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000
Email: recoveryero@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 2150 of 2015
Certificate No. 732 of 2015

The Principal Officer/
Chairman & Managing Director/ CEO,
All the Banks in India

1. Whereas a Recovery Certificate No. 732 dated July 10, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 4,96,584/- (Rupees Four Lakh Ninety Six Thousand Five Hundred and Eighty Four only)** as detailed below along with further interest, all costs, charges and expenses etc., against **Shyamlal Sultania (Pawan Kumar Sultania - Prop.)** ["Defaulter"] PAN: **ALEPS2223C** and the same is due from them in respect of the said certificate. A Notice of Demand dated July 10, 2015, has been issued to **Shyamlal Sultania (Pawan Kumar Sultania - Prop.)**

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. BM/AO-8/2010 dated February 04, 2010, in the matter of M/s Sangotri Constructions Limited .	Rs.3,00,000/-
Interest from February 04, 2010 to July 10, 2015 @ 12% p.a.	Rs. 1,95,584/-
Costs	Rs. 1,000/-
Total	Rs. 4,96,584/-

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
- All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
 - All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.





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4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
- Details of all the Accounts including Lockers held by the defaulter with your Bank,
 - Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
 - Confirmation of Attachment of the said account/s
 - Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.
7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 10th day of July, 2015.

SEAL

Copy to:



Shyamlal Sultania (Pawan Kumar Sultania - Prop.)

Address 1: 4, B.B.D. Bag (East), Stephen House, 5th Floor, Room No. – 93A, Kolkata – 700 001
Address 2: 1, R.N. Mukherjee Road, Mezz Floor, Room No. – 22A, Kolkata – 700 001
Address 3: 19, Manish Bazar Road, Kolkata – 700 015

**RECOVERY OFFICER
MANJESH. S. ROY**

Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Kolkata

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

RECOVERY OFFICER



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Email: recoveryero@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 2151 of 2015

Certificate No. 732 of 2015

The Managing Director,
National Securities Depository Limited,
Trade World, A wing, 4th & 5th Floors,
Kamala Mills Compound, Lower Parel,
Mumbai - 400013.

The Managing Director,
Central Depository Services (India) Limited,
17th Floor, P J Towers, Dalal Street,
Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India

- Whereas a Recovery Certificate No. 732 dated July 10, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 4,96,584/- (Rupees Four Lakh Ninety Six Thousand Five Hundred and Eighty Four only)** as detailed below along with further interest, all costs, charges and expenses etc., against **Shyamlal Sultania (Pawan Kumar Sultania - Prop.) ["Defaulter"] PAN: ALEPS2223C** and the same is due from them in respect of the said certificate. A Notice of Demand dated July 10, 2015, has been issued to **Shyamlal Sultania (Pawan Kumar Sultania - Prop.)**

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. BM/AO-8/2010 dated February 04, 2010, in the matter of M/s Sangotri Constructions Limited.	Rs.3,00,000/-
Interest from February 04, 2010 to July 10, 2015 @ 12% p.a.	Rs. 1,95,584/-
Costs	Rs. 1,000/-
Total	Rs. 4,96,584/-

- And whereas there is sufficient reason to believe that the defaulters may dispose off the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is therefore in exercise of powers conferred on me, I hereby order to attach the following :





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- i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
 - ii. All funds /folios/schemes held by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you
4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
- a) Details of all the Accounts held by the defaulter with you,
 - b) Copy of the Account Statement/s ; and
 - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 10th day of July, 2015.

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Address 3: 19, Manish Bazar Road, Kolkata – 700 015

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

RECOVERY OFFICER

MANJESH. S. ROY

Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Kolkata

RECOVERY OFFICER