भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

RECOVERY CELL
NORTHERN REGIONAL OFFICE, NEW DELHI

Tel: 011-23724011
Email: recoverynro@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No.3467 of 2018
Certificate No.1381 of 2018

The Principal Officer/
Chairman & Managing Director / CEO
All the Banks in India

1. Whereas a Recovery Certificate No.1381 dated March 28, 2018 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs 3,57,515** (Rupees Three Lakh Fifty Seven Thousand Five Hundred and Fifteen Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, as detailed below, against **Laxmi Jasiwal** [Defaulter] **PAN: AFQPJ5150D.** A Notice of Demand dated March 28, 2018 has been issued to the above named.

Description of Dues	Amount (Rs)
Non-payment of penalty as imposed vide A.O. No.RA/JP/58-59/2016 dated. Sept 1, 2016.	3,00,000
Interest from Sept 1, 2016 to March 28, 2018 @ 12% p.a.	56, 515
Costs	1,000
Total	**3,57,515**

2. It is pertinent to mention that an order dated Sept 1, 2016 was passed by Adjudication officer imposing penalty of Rs 3, 00,000/- wherein 45 days were given for payment of penalty amount. The order was duly served on Sept 9, 2016 and no steps have been taken to pay the penalty amount by the defaulter till date. Whereas, there is sufficient reason to believe that the defaulter may dispose off the amounts/ proceeds in the Bank/ Demat and Mutual Fund accounts held with Banks, Mutual Funds and Depository Participants and therefore, realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

    a) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
    b) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:

    a) Details all the Accounts including Lockers held by the defaulter with your Bank,
    b) Copy of the Account Statement/s for the past one year in respect of all the Accounts;
    c) Confirmation of Attachment of the said account/s
    d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoverynro@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at New Delhi on 28st day of March 2018.

SEAL

RECOVERY OFFICER

Mohammad Atif Alvi
Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
क्षे. कार्यालय/R. Regional Office
नई दिल्ली/New Delhi

Copy to:

Laxmi Jaiswal
384, Muttiganj, Allahabad .
UP- 2110002

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

RECOVERY OFFICER

Mohammad Atif Alvi
Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
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RECOVERY CELL
NORTHERN REGIONAL OFFICE, NEW DELHI

Tel: 011-23724011
Email: recoverynro@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No.3468 of 2018
Certificate No.1381 of 2018.

National Securities Depository Ltd
Trade World, A Wing, 4th & 5th Floors, Kamala Mills Compound, Lower Parel, Mumbai – 400 013

Central Depositories Services (I) Ltd
17th Floor, P J Towers
Dalal Street, Mumbai – 400 001

All Mutual Funds of India

1. Whereas a Recovery Certificate No.1381 dated March 28, 2018 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs 3,57,515** (Rupees Three Lakhs Fifty Seven Thousand Five Hundred and Fifteen Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against **Laxmi Jaiswal** [Defaulter] **PAN: AFQPJ5150D**. A Notice of Demand dated March 28, 2018 has been issued to the above named.

Description of Dues	**Amount (Rs)**
Non-payment of penalty as imposed vide A.O. No.RA/JP/58-59/2016 dated. Sept 1, 2016.	3,00,000
Interest from Sept 1, 2016 to March 28, 2018 @ 12% p.a.	56, 515
Costs	1,000
Total	**3,57,515**

2. It is pertinent to mention that an order dated Sept 1, 2016 was passed by Adjudication officer imposing penalty of Rs 3, 00,000/- wherein 45 days were given for payment of penalty amount. The order was duly served on Sept 9, 2016 and no steps have been taken to pay the penalty amount by the defaulter till date. Whereas, there is sufficient reason to believe that the defaulter may dispose off the amounts/ proceeds in the Bank/ Demat and Mutual Fund accounts held with Banks, Mutual Funds and Depository Participants and therefore, realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
   a) Details all the Accounts held by the defaulter with you,
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s

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Board of India

6. This Notice of attachment is issued in exercise of powers conferred under Section **Section 28A, 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at New Delhi on 28st day of March 2018.

SEAL

RECOVERY OFFICER

मोहम्मद आलिफ अल्वी/Mohammad Alif Alvi
वसूली अधिकारी/Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
क्षे. प्रादेशिक कार्यालय/R. Regional Office
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Copy to:

Laxmi Jaiswal
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With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

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