



भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

Enforcement Department
Recovery Division II

Tel: 022-26449401
Email: recoveryho@sebi.gov.in

Order Releasing of Bank Accounts, Demat Accounts and Mutual Fund Folios

Attachment Proceeding No. 3677 and 3678 of 2018
Certificate No.1472 of 2018

The Principal Officer/
Chairman & Managing Director/ CEO
All the Banks in India
NSDL/CDSL., Mumbai
All the Mutual Funds

Attachment Proceeding No. 3677 and 3678 of 2018 - Release of attachment of bank accounts/ lockers, Demat accounts and Mutual Fund Folios

1. Whereas Certificate No.1472 of 2018 has been drawn up by the Recovery Officer against Swan Securities Pvt. Ltd. (Defaulter) (Pan no. AAACS6741B) for the sum of Rs.6,89,893/- (Rupees Six Lakhs Eighty Nine Thousand Eight Hundred and Ninety Three Only) along with further interest, all costs, charges and expenses was due from them in respect of the said Certificate in the above recovery Proceedings;
2. And whereas Notice of Attachment in the above proceedings was issued attaching the bank accounts/ demat accounts/mutual fund folios of the Defaulter;
3. And whereas SEBI has recovered an amount of Rs.7,08,057/- (Rupees Seven Lakhs Eight Thousand and Fifty Seven Only) which includes interest and costs towards full and final settlement of demand raised in the aforesaid recovery certificate.
4. In view of the above, you are hereby directed to release the bank accounts/ lockers/ demat accounts/ Mutual fund folios of the Defaulter attached, if any, pursuant to the above said notice/s of attachment.

Given under my hand and seal at Mumbai this 29th day of August, 2018

Seal
Copy to:



M/s. Swan Securities Pvt. Ltd. (Pan no. AAACS6741B)
610, Churchgate Chambers, 6th floor,
5, New Marine Lines Road,
Opp. Churchgate Station
Mumbai – 400 020.

RECOVERY OFFICER

ATANU PAN / अतनु पान
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूति एवं विनियम बोर्ड
Mumbai / मुंबई

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in