



**RECOVERY CELL**  
**NORTHERN REGIONAL OFFICE, NEW DELHI**  
 Tel: 011-23724011, [recoverynro@sebi.gov.in](mailto:recoverynro@sebi.gov.in)

**भारतीय प्रतिभूति  
 और विनियम बोर्ड**  
**Securities and Exchange  
 Board of India**

**Notice of Attachment of Bank Accounts**

Attachment Proceeding No. 4213 of 2019  
Certificate No. 1839 of 2018

**The Principal Officer/  
 Chairman & Managing Director / CEO  
 All the Banks in India**

- Whereas a Recovery Certificate No. 1839 dated December 11, 2018 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 24,82,239/-** (*Rupees Twenty Four Lakhs Eighty Two Thousand Two Hundred Thirty Nine Only*) with returns due to investors, along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against **Capquest Research Pvt. Limited (PAN: AAGCC0137R)** [Defaulters] and the same is due from it. A Notice of Demand dated December 11, 2018 has been issued to the above named.

Description of Dues	Amount (Rs)
Non-compliance of directions of refund to the investors vide order nos. WTM/MPB/SEBI/EFD-DRA4/107/2017 dated December 11, 2017 in the matter of <b>Capquest Research Pvt. Limited</b>	<b>FULL FREEZE</b>
Interest	
Costs	
Total	



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**“हम हिन्दी पत्राचार का स्वागत करते हैं।”**

प्रादेशिक कार्यालय : पॉचवा तल, बैंक ऑफ बड़ौदा भवन, 16, संसद मार्ग, नई दिल्ली-110001 दूरभाष (Telephone): 011-23724001-05 फैक्स (Fax) : 23724006 & 8  
 REGIONAL OFFICE : 5TH FLOOR, BANK OF BARODA BUILDING, 16, SANSAD MARG, NEW DELHI - 110001

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई-400051 दूरभाष (Telephone): 022-26449000 फैक्स (Fax) : 022-26449019 to 26449022  
 Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai-400051 Web. : [www.sebi.gov.in](http://www.sebi.gov.in)



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भारतीय प्रतिभूति  
और विनिमय बोर्ड  
**Securities and Exchange  
Board of India**  
**A.P No. 4213 of 2019**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
  - a) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - b) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:
  - a) Details of all the A/cs including Lockers held by the defaulter with your Bank,
  - b) Copy of the A/c Statement/s for the past one year in respect of all the A/cs;
  - c) Confirmation of Attachment of the said Account/s
  - d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: [recoverynro@sebi.gov.in](mailto:recoverynro@sebi.gov.in).





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और विनिमय बोर्ड  
**Securities and Exchange  
Board of India**

**A.P No. 4213 of 2019**

7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at New Delhi on 08<sup>th</sup> day of January 2019.

SEAL



RECOVERY OFFICER



Copy to:

<b>Capquest Research Pvt. Limited</b> A-30, FIFE Complex, Okhla Industrial Area, Pahse II, New Delhi 110020	<b>Mr. Gitesh Malik</b> 876-B/7, Govindpuri, Kalkaji, New Delhi 110019
<b>Mr. Shashanka Sharma</b> 876-B/7, Govindpuri, Kalkaji, New Delhi 110019	<b>Mr. Prashant Kumar</b> S/O Shri Teekam Singh Gali No. 2, Suraj Vihar, Dadri, Gautam Budh Nagar 203207

**With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.**

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 और विनियम बोर्ड**  
**Securities and Exchange  
 Board of India**

**Notice of Attachment of Demat Accounts**

Attachment Proceeding No.4214 of 2019  
 Certificate No.1839 of 2018

**National Securities Depository Ltd**  
**Trade World, A Wing, 4<sup>th</sup> & 5<sup>th</sup>**  
**Floors, Kamala Mills Compound,**  
**Lower Parel, Mumbai – 400 013**

**Central Depositories Services (I) Ltd**  
**17<sup>th</sup> Floor, P J Towers**  
**Dalal Street, Mumbai – 400 001**

**All Mutual Funds of India**

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**Securities and Exchange  
Board of India**

**A.P No. 4214 of 2019**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities / instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you;
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
  - a) Details all the Accounts held by the defaulter with you,
  - b) Copy of the Account Statement/s;
  - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under Section **Section 28A, 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.





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