Recovery & Refund Department
Recovery Division II
Tel: 022-26449401
Email: recoveryho@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Bank Account

Attachment Proceeding No. 4592 of 2019
Certificate No. 2207 of 2019

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

1. Whereas a Recovery **Certificate No.2207 of 2019 dated 10.06.2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.947762/- (Rupees Nine Lakh Forty Seven Thousand Seven Hundred Sixty Two Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Ruia Aquaculture Farms Ltd ["Defaulter"] PAN NO. AAACR2779J** and the same is due from them in respect of the said certificate. A Notice of Demand **dated 10.06.2019** has been issued to **Ruia Aquaculture Farms Ltd.**

Description of Dues	Amount
Penalty imposed **on Ruia Aquaculture Farms Ltd (PAN No. AAACR2779J)** by the Adjudicating Officer vide order no. Order/AK/VG/2017-18/1220 dated 29.11.2017 in the matter of Non-Obtaining/Delayed Obtaining of SCORES Authentication.	800000
Interest from 29/11/2017 to 10/06/2019 @ 12% p.a.	146762
Recovery cost	1000
Total	947762

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect **to the extent of above said dues**:

   i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

   ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

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4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

   a) Details of all the Accounts including Lockers held by the defaulter with your Bank;
   b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s and lockers; and
   d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoveryho@sebi.gov.in. and maharukh@sebi.gov.in

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961.

Given under my hand and seal at Mumbai this 5th day of July, 2019.

SSAmbokar

SEAL

RECOVERY OFFICER

Copy to:

Ruia Aquaculture Farms Ltd
(PAN NO. : AAACR2779J)
18/2, Ruia House, Sainath Road,,
Malad West, Mumbai 400064

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).

Recovery & Refund Department
Recovery Division II
Tel: 022-26449401
Email: recoveryho@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Demat Account and Mutual Fund Folio(s)

Attachment Proceeding No. 4593 of 2019
Certificate No.2207 of 2019

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.

1. Whereas a Recovery **Certificate No.2207 of 2019 dated 10.06.2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.947762/- (Rupees Nine Lakh Forty Seven Thousand Seven Hundred Sixty Two Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Ruia Aquaculture Farms Ltd["Defaulter"] PAN NO. AAACR2779J** and the same is due from him in respect of the said certificate. A Notice of Demand **dated 10.06.2019** has been issued to **Ruia Aquaculture Farms Ltd.**

Description of Dues	Amount
Penalty imposed on **Ruia Aquaculture Farms Ltd (PAN No. AAACR2779J)** by the Adjudicating Officer vide order no. Order/AK/VG/2017-18/1220 dated 29.11.2017 in the matter of Non-Obtaining/Delayed Obtaining of SCORES Authentication.	800000
Interest from 29/11/2017 to 10/06/2019 @ 12% p.a..	146762
Recovery cost	1000
Total	947762

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
   i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

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SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

ii) All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.

   a) Details of all the Accounts/folios held by the defaulter with you;
   b) Copy of the Account Statement/s; and
   c) Confirmation of Attachment of the said accounts/folios

6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: recoveryho@sebi.gov.in. and maharukh@sebi.gov.in

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961.

Given under my hand and seal at Mumbai this 5th day of July, 2019.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

RECOVERY OFFICER

Copy to:

Ruia Aquaculture Farms Ltd
(PAN NO. : AAACR2779J)
18/2, Ruia House, Sainath Road,,
Malad West, Mumbai 400064

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).