



Recovery & Refund Department  
Recovery Division III  
Tel: 022-26449513  
Email: recoveryho1@sebi.gov.in

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

### Notice of Attachment of Bank Account

Attachment Proceeding No. 5256 of 2019  
Certificate No. 2196 of 2019

The Principal Officer /  
Chairman & Managing Director / CEO  
All the Banks in India.

- Whereas a **Recovery Certificate No. 2196 of 2019** dated **June 06, 2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 2,58,140/- (Rupees Two Lakh Fifty Eight Thousand One Hundred and Forty only)** as detailed below along with interest/costs/charges/expenses etc. against **M/s Atharv Enterprises Ltd. ["Defaulter"] (PAN: AAACW1672P)** and the same is due from the defaulter in respect of the said certificate. A Notice of Demand dated **June 06, 2019** has been issued to **M/s Atharv Enterprises Ltd.**

Description of Dues	Amount
Penalty imposed on <b>M/s Atharv Enterprises Ltd. (PAN: AAACW1672P)</b> by the Adjudicating Officer vide order no. <b>PJ/VP/27/2017</b> dated <b>January 18, 2017</b> in the matter of Trading Activities in the Scrip of Rockon Fintech Ltd..	<b>Rs. 2,00,000/-</b>
Interest from <b>May 18/01/2017 to 06/06/2019 @ 12% p.a.</b>	<b>Rs. 57,140/-</b>
Recovery cost	<b>Rs. 1,000/-</b>
<b>Total</b>	<b>Rs. 2,58,140/-</b>

- Whereas no amount has been paid by the defaulter and there is sufficient reason to believe that the Defaulter may dispose of the amounts/proceeds in the Bank Accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect **to the extent of above said dues**:
  - All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.



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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.  
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in



अनुवर्ती :  
Continuation :

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भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India  
A.P. No. 5256 of 2019

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s to the extent of the total dues mentioned above until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this attachment Notice:
  - a) Details of all the Accounts including Lockers held by the defaulter with your Bank;
  - b) Copy of the Account Statement(s) for the latest one year in respect of all the Accounts;
  - c) Confirmation of Attachment of the said account(s) and lockers; and
  - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: [recoveryho1@sebi.gov.in](mailto:recoveryho1@sebi.gov.in) / [amard@sebi.gov.in](mailto:amard@sebi.gov.in)
7. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961.

Given under my hand and seal at Mumbai on **22<sup>nd</sup> Day of October, 2019**

SEAL



RECOVERY OFFICER

JAI PARKASH

जय प्रकाश

Dy. General Manager & Recovery Officer

उप महाप्रबंधक एवं वसूली अधिकारी

Securities and Exchange Board of India

भारतीय प्रतिभूति एवं विनिमय बोर्ड

Mumbai

मुंबई

Copy to:

M/s Atharv Enterprises Ltd. (PAN: AAACW1672P)

CSN 913/2, E ward, Om Apartmnet,

Flat no. 3, Shahupuri 5<sup>th</sup> Lane,

Kolhapur 416001

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).



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Recovery Division III  
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Securities and Exchange  
Board of India

## Notice of Attachment of Demat Account and Mutual Fund Folio(s)

**Attachment Proceeding No. 5257 of 2019**

**Certificate No. 2196 of 2019**

**M/s. National Securities Depository Ltd.**  
4th floor, 'A', Wing, Trade World  
Kamala Mills Compound  
Senapati Bapat Marg  
Lower Parel, Mumbai - 400013

**M/s. Central Depository Services (I) Ltd.**  
P J Towers, 17th floor  
Dalal Street  
Fort, Mumbai - 400001

**The Principal Officer/Chairman & Managing Director/ CEO  
All the Mutual Funds in India.**

- Whereas a **Recovery Certificate No. 2196 of 2019** dated **June 06, 2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 2,58,140/- (Rupees Two lakh Fifty Eight Thousand One Hundred and Forty only)** as detailed below along with interest/costs/charges/expenses etc. against **M/s Atharv Enterprises Ltd. ["Defaulter"] (PAN: AAACW1672P)** and the same is due from him in respect of the said certificate. A Notice of Demand dated **June 6, 2019** has been issued to **M/s Atharv Enterprises Ltd.**

Description of Dues	Amount
Penalty imposed <b>M/s Atharv Enterprises Ltd. (PAN:AAACW1672P)</b> by the Adjudicating Officer vide order no. <b>PJ/VP/27/2017</b> dated <b>January 18, 2017</b> in the matter of <b>M/s Atharv Enterprises Ltd.</b>	<b>2,00,000/-</b>
Interest from <b>18.01.2017 to 06.06.2019 @ 12% p.a.</b>	<b>57,140/-</b>
Recovery cost	<b>1,000/-</b>
<b>Total</b>	<b>2,58,140/-</b>

- And whereas there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:



सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.  
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in



अनुवर्ती :  
Continuation :

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भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India  
A.P. No. 5257 of 2019

- i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
  - ii) All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this attachment Notice.
- a) Details of all the Accounts/folios held by the defaulter with you;
  - b) Copy of the Account Statement/s; and
  - c) Confirmation of Attachment of the said accounts/folios
6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: [recoveryho1@sebi.gov.in](mailto:recoveryho1@sebi.gov.in)/[amard@sebi.gov.in](mailto:amard@sebi.gov.in)
7. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961

Given under my hand and seal at Mumbai on 22<sup>nd</sup> Day of October, 2019

SEAL



  
22/10/2019  
RECOVERY OFFICER

Copy to:

M/s Atharv Enterprises Ltd.  
PAN: AAACW1672P  
CSN 913/2, E Ward, Om Apartment, Flat No. 03  
Shahupuri 5<sup>th</sup> Lane, Kolhapur,  
Maharashtra - 416001

JAI PARKASH  
जय प्रकाश  
Dy. General Manager & Recovery Officer  
उप महाप्रबंधक एवं वसूली अधिकारी  
Securities and Exchange Board of India  
भारतीय प्रतिभूति एवं विनिमय बोर्ड  
Mumbai  
मुंबई

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).