



Recovery and Refund Department
Division-III
Tel: 022-26449413
Email: kundanr@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Release Order No. : RRD-3/ 45 /2020

Order Releasing of Bank Accounts, Demat Accounts and Mutual Fund Folios

Attachment Proceeding No. 4723 & 4724 of 2019
Certificate No. 2383 of 2019

**The Principal Officer /
Chairman & Managing Director / CEO
All Banks in India / All the Mutual Funds in India.
NSDL/CDSL, Mumbai**

Release of attachment of Bank Accounts / Lockers, Demat Accounts & Mutual Fund Folios

1. Whereas Recovery Certificate No.2393 of 2019 dated July 10, 2019 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.2,23,619/- (Rupees Two Lakh Twenty Three Thousand Six Hundred and Nineteen only) along with interest / costs / charges / expenses etc. against **Mr. Ashok Gupta ["Defaulter"] (PAN:AAIPG7033R)** and the same was due in respect of the said certificate;
2. Whereas Notice of Attachment in the above proceedings were issued attaching the Bank Accounts / lockers, Demat Accounts & Mutual Fund Folios of the Defaulter;
3. Whereas SEBI has recovered the amount of **Rs.2,36,638/- (Rupees Two Lakh Thirty Six Thousand Six Hundred and Thirty Eight only)**, which includes further interest / costs towards full and final settlement of demand raised in the aforesaid recovery certificate;
4. You are hereby directed to release the Bank Accounts/ lockers, Demat Accounts & Mutual Fund Folios of the Defaulter attached, if any, pursuant to the above said notice of attachment.

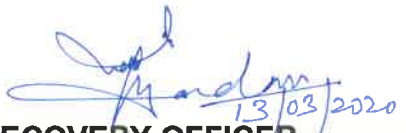
Given under my hand and seal at Mumbai this 13th Day of March, 2020.

Seal

Copy to:

Mr. Ashok Gupta
Guru Kripa, 6 Dixit Road
Vile Parle East
Mumbai – 400057




RECOVERY OFFICER

JAI PARKASH
जय प्रकाश
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूती एवं विनिमय बोर्ड
Mumbai
मुंबई

सबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in