भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

Completion of Recovery Certificate No. 4928 of 2022 dated 16.06.2022 issued against Vinod Kumar Kothari and Sons HUF in the matter of Dealing in illiquid Stock Options on the BSE Ltd.

Recovery Certificate No. 4928 of 2022 dated 16.06.2022 was drawn against Vinod Kumar Kothari and Sons HUF (PAN: AABHV5183L) for sum of Rs. 5,16,000/- (i.e. Rupees Five Lakh Sixteen Thousand Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, for non-payment of penalty imposed by Adjudication Officer vide Order No. Order/VR/SS/2022-23/16016 dated 13.04.2022 in the matter of Dealing in illiquid Stock Options on the BSE Ltd.

2. In view of the payment of the amount due under the certificate, the said certificate is hereby completed in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992 read with section 224.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

Dated: 15.07.2022
Place: Mumbai

S S Ambokar

RECOVERY OFFICER
Srishti Ambokar
सृष्टी आंबोकर
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं बसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूती एवं विनिमय बोर्ड
Mumbai
मुंबई