

**SECURITIES AND EXCHANGE BOARD OF INDIA
EASTERN REGIONAL OFFICE (KOLKATA)**


**Completion of Recovery Certificate no. RC6660 of 2023 dated June 12, 2023 in
respect of York Financial Services Pvt. Ltd (PAN: AAACY1185F) in the matter of
Illiquid Stock Options at BSE**

A Recovery Certificate No. RC6660 of 2023 dated June 12, 2023, was drawn up by the Recovery Officer against York Financial Services Pvt. Ltd (PAN: AAACY1185F) ["Defaulter"] for a sum of Rs. 6,26,000/- (Rupees Six Lakh Twenty-Six Thousand Only) with further interest, all costs, charges and expenses incurred in respect of all the proceedings for recovery of the said sum, for non-payment of penalty imposed by the Adjudicating Officer vide Order No. Order/VV/NK/2021-22/12390 dated June 30, 2021 against York Financial Services Pvt. Ltd (PAN: AAACY1185F) in the matter of Illiquid Stock Options at BSE.

In view of payment of the amount of Rs. 6,26,000/- (Rupees Six Lakh Twenty-Six Thousand Only) which includes interest and costs, due under the aforesaid recovery certificate, recovery in said matter stands completed in exercise of powers under sub-section (1) of section 28A of the SEBI Act, 1992 read with relevant provisions of the Income-tax Act, 1961.

Date- January 8, 2024
Place- Kolkata




RECOVERY OFFICER
राज कुमार कलुरी / Raj Kumar Kaluri
वसुली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
कोलकाता / Kolkata